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(54) Title: METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC PROCESSING

(57) Abstract

A method and apparatus for microfluidic processing by programmably manipulating a packet. A material is introduced onto a reaction surface and compartmentalized to form a packet. A position of the packet is sensed with a position sensor. A programmable manipulation force is applied to the packet at the position. The programmable manipulation force is adjustable according to packet position by a controller. The packet is programmably moved according to the programmable manipulation force along arbitrarily chosen paths.

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METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC PROCESSING

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to fluidic processing and, more particularly, to a method and apparatus for programmably manipulating and interacting one or more compartmentalized packets of material on a reaction surface.

2. Description of Related Art

Chemical protocols often involve a number of processing steps including metering, mixing, transporting, division, and other manipulation of fluids. For example, fluids are often prepared in test tubes, metered out using pipettes, transported into different test tubes, and mixed with other fluids to promote one or more reactions. During such procedures, reagents, intermediates, and/or final reaction products may be monitored, measured, or sensed in analytical apparatus. Microfluidic processing generally involves such processing and monitoring using minute quantities of fluid. Microfluidic processing finds applications in vast fields of study and industry including, for instance, diagnostic medicine, environmental testing, agriculture, chemical and biological warfare detection, space medicine, molecular biology, chemistry, biochemistry, food science, clinical studies, and pharmaceutical pursuits.

A current approach to fluidic and microfluidic processing utilizes a number of microfluidic channels that are configured with microvalves, pumps, connectors, mixers, and detectors. While devices using micro-scale implementations of these traditional approaches may exhibit at least a degree of utility, vast room for improvement remains. For instance, pumps and valves used in traditional fluidic transportation are mechanical. Mechanical devices, particularly when coupled to thin microchannels, may be prone to failure or blockage. In particular, thin channels may become narrowed or partially-blocked due to buildup of channel contamination, which, in turn, may lead to mechanical failure of associated devices. Current microfluidic devices also lack flexibility, for they rely upon a fixed pathway of microchannels. With fixed pathways, devices are limited in

the number and type of tasks they may perform. Also, using fixed pathways makes many types of metering, transport, and manipulation difficult. With traditional devices, it is difficult to partition one type of sample from another within a channel.

Electrical properties of materials have been employed to perform a limited number of fluidic processing tasks. For example, dielectrophoresis has been utilized to aid in the characterization and separation of particles, including biological cells. An example of such a device is described in U. S. Patent No. 5,344,535 to Betts, incorporated herein by reference. Betts establishes dielectrophoretic collection rates and collection rate spectra for dielectrically polarizable particles in a suspension. Particle concentrations at a certain location downstream of an electrode structure are measured using a light source and a light detector, which measures the increased or decreased absorption or scattering of the light which, in turn, indicates an increase or decrease in the concentration of particles suspended in the fluid. Although useful for determining particle dielectrophoretic properties, such a system is limited in application. In particular, such a system does not allow for general fluidic processing involving various interactions, sometimes performed simultaneously, such as metering, mixing, fusing, transporting, division, and general manipulation of multiple reagents and reaction products.

Another example of using certain electrical properties for specific types of processing is disclosed in U.S. Patent No. 5,632,957 to Heller *et al.*, incorporated herein by reference. There, controlled hybridization may be achieved using a matrix or array of electronically addressable microlocations in conjunction with a permeation layer, an attachment region and a reservoir. An activated microlocation attracts charged binding entities towards an electrode. When the binding entity contacts the attachment layer, which is situated upon the permeation layer, the functionalized specific binding entity becomes covalently attached to the attachment layer. Although useful for specific tasks such as DNA hybridization, room for improvement remains. In particular, such a system, utilizing attachment sites for certain binding entities is designed for particular applications and not for general fluidic processing of a variety of fluids. More

specifically, such a system is designed for use with charged binding entities that interact with attachment sites.

Another example of processing is disclosed in U.S. Patent No. 5,126,022 to Soane *et al.*, incorporated herein by reference. There, charged molecules may be moved through a medium that fills a trench in response to electric fields generated by electrodes. Although useful for tasks such as separation, room for improvement remains in that such devices are not well suited for performing a wide variety of fluidic processing interactions on a wide variety of different materials.

There are other examples of using dielectrophoresis for performing specific, limited fluidic processing tasks. U.S. Patent No. 5,795,457 to Pethig and Burt, incorporated herein by reference, disclose a method for promoting reactions between particles suspended in liquid by applying two or more electrical fields of different frequencies to electrode arrays. While perhaps useful for facilitating certain interactions between many particles of different types, the method is not well suited for general fluidic processing. U.S. Patent No. 4,390,403 to Batchelder, incorporated herein by reference, discloses a method and apparatus for manipulation of chemical species by dielectrophoretic forces. Although useful for inducing certain chemical reactions, its flexibility is limited, and it does not allow for general, programmable fluidic processing.

Any problems or shortcomings enumerated in the foregoing are not intended to be exhaustive but rather are among many that tend to impair the effectiveness of previously known processing techniques. Other noteworthy problems may also exist; however, those presented above should be sufficient to demonstrated that apparatus and methods appearing in the art have not been altogether satisfactory.

SUMMARY OF THE INVENTION

In one respect, the invention is an apparatus for programmably manipulating a packet. As used herein, "packet" refers to compartmentalized matter and may refer to a fluid packet, an encapsulated packet, and/or a solid packet. A fluid packet refers to one or more packets of liquids or gases. A fluid packet may refer to a droplet or bubble of a

liquid or gas. A fluid packet may refer to a droplet of water, a droplet of reagent, a droplet of solvent, a droplet of solution, a droplet of sample, a particle or cell suspension, a droplet of an intermediate product, a droplet of a final reaction product, or a droplet of any material. An example of a fluid packet is a droplet of aqueous solution suspended in oil. An encapsulated packet refers to a packet enclosed by a layer of material. An encapsulated packet may refer to vesicle or other microcapsule of liquid or gas that may contain a reagent, a sample, a particle, a cell, an intermediate product, a final reaction product, or any material. The surface of an encapsulated packet may be coated with a reagent, a sample, a particle or cell, an intermediate product, a final reaction product, or any material. An example of an encapsulated packet is a lipid vesicle containing an aqueous solution of reagent suspended in water. A solid packet refers to a solid material that may contain, or be covered with a reagent, a sample, a particle or cell, an intermediate product, a final reaction product, or any material. An example of a solid packet is a latex microsphere with reagent bound to its surface suspended in an aqueous solution. Methods for producing packets as defined herein are known in the art. Packets may be made to vary greatly in size and shape, but in embodiments described herein, packets may have a diameter between about 100 nm and about 1 cm.

In this respect, the invention includes a reaction surface, an inlet port, means for generating a programmable manipulation force upon the packet, a position sensor, and a controller. The reaction surface is configured to provide an interaction site for the packet. The inlet port is coupled to the reaction surface and is configured to introduce the packet onto the reaction surface. The means for generating a programmable manipulation force upon the packet programmably moves the packet about the reaction surface along arbitrarily chosen paths. As used herein, by "arbitrarily chosen paths" it is meant that paths may be chosen to have any shape about the reaction surface. Arbitrarily chosen paths are not limited to movements that are predefined. Arbitrarily chosen paths may be modified in an unlimited manner about the reaction surface and may hence trace out any pattern. The position sensor is coupled to the reaction surface and is configured to sense a position of the packet on the reaction surface. The controller is coupled to the means for generating a programmable manipulation force and to the position sensor. The

controller is configured to adjust the programmable manipulation force according to the position.

In other aspects, the apparatus may also include an outlet port coupled to the reaction surface. The outlet port may be configured to collect the packet from the reaction surface. The means for generating a manipulation force may include a conductor adapted to generate an electric field. The means for generating a manipulation force may include a light source. The manipulation force may include a dielectrophoretic force, an electrophoretic force, an optical force, a mechanical force, or any combination thereof. The position sensor may include a conductor configured to measure an electrical impedance of the packet. The position sensor may include an optical system configured to monitor the position of the packet. The means for generating a programmable manipulation force and the position sensor may be integral.

In another respect, the invention is an apparatus for microfluidic processing by programmably manipulating packets. The apparatus includes a reaction surface, an inlet port, an array of driving electrodes, and an array of impedance sensing electrodes. As used herein, an "array" refers to any grouping or arrangement. An array may be a linear arrangement of elements. It may also be a two dimensional grouping having columns and rows. Columns and rows need not be uniformly spaced or orthogonal. An array may also be any three dimensional arrangement. The reaction surface is configured to provide an interaction site for the packets. The inlet port is coupled to the reaction surface and is configured to introduce the packets onto the reaction surface. The array of driving electrodes is coupled to the reaction surface and is configured to generate a programmable manipulation force upon the packets to direct the microfluidic processing by moving the packets along arbitrarily chosen paths. The array of impedance sensing electrodes is coupled to the reaction surface and is configured to sense positions of the packets during the microfluidic processing.

In other aspects, the apparatus may also include an outlet port coupled to the reaction surface. The outlet port may be configured to collect the packets from the reaction surface. The apparatus may also include a controller coupled to the array of

driving electrodes and to the array of impedance sensing electrodes. The controller may be adapted to provide a feedback from the array of impedance sensing electrodes to the array of driving electrodes. The array of driving electrodes and the array of impedance sensing electrodes may be integral. The apparatus may also include an integrated circuit coupled to the array of driving electrodes and to the array of impedance sensing electrodes. The apparatus may also include a coating modifying a hydrophobicity of the reaction surface. The apparatus may also include a maintenance port.

In another respect, the invention is an apparatus for processing packets in a partitioning medium. As used herein, a "partitioning medium" refers to matter that may be adapted to suspend and compartmentalize other matter to form packets on a reaction surface. A partitioning medium may act by utilizing differences in hydrophobicity between a fluid and a packet. For instance, hydrocarbon molecules may serve as a partitioning medium for packets of aqueous solution because molecules of an aqueous solution introduced into a suspending hydrocarbon fluid will strongly tend to stay associated with one another. This phenomenon is referred to as a hydrophobic effect, and it allows for compartmentalization and easy transport of packets upon or over a surface. A partitioning medium may also be a dielectric carrier liquid which is immiscible with sample solutions. Other suitable partitioning mediums include, but are not limited to, air, aqueous solutions, organic solvents, oils, and hydrocarbons. The apparatus includes a chamber, a programmable dielectrophoretic array, and an impedance sensing array. As used herein, a "programmable dielectrophoretic array" (PDA) refers to an electrode array whose individual elements can be addressed with different electrical signals. The addressing of electrode elements with electrical signals may initiate different field distributions and generate dielectrophoretic manipulation forces that trap, repel, transport, or perform other manipulations upon packets on and above the electrode plane. By programmably addressing electrode elements within the array with electrical signals, electric field distributions and manipulation forces acting upon packets may be programmable so that packets may be manipulated along arbitrarily chosen or predetermined paths. The chamber is configured to contain the packets and the partitioning medium. The programmable dielectrophoretic array is coupled to the

chamber and is configured to generate a programmable dielectrophoretic force to direct processing of the packets. The impedance sensing array of electrodes is integral with the programmable dielectrophoretic array. The impedance sensing array of electrodes is configured to sense a position of the packets within the chamber.

In other aspects, the apparatus may also include an integrated circuit coupled to the programmable dielectrophoretic array and to the impedance sensing array of electrodes. The apparatus may also include a controller coupled to the programmable dielectrophoretic array and to the impedance sensing array of electrodes. The controller may be adapted to provide a feedback from the impedance sensing array of electrodes to the programmable dielectrophoretic array. The electrodes may be between about 1 micron and about 200 microns and may be spaced between about 1 micron and about 200 microns.

In another respect, the invention is a method for manipulating a packet in which the following are provided: a reaction surface, an inlet port coupled to the reaction surface, means for generating a programmable manipulation force upon the packet, a position sensor coupled to the reaction surface, and a controller coupled to the means for generating a programmable manipulation force and to the position sensor. A material is introduced onto the reaction surface with the inlet port. The material is compartmentalized to form the packet. A position of the packet is sensed with the position sensor. A programmable manipulation force is applied on the packet at the position with the means for generating a programmable manipulation force. The programmable manipulation force is adjustable according to the position by the controller. The packet is programmably moved according to the programmable manipulation force along arbitrarily chosen paths.

In other aspects, the packet may include a fluid packet, an encapsulated packet, or a solid packet. The compartmentalizing may include suspending the material in a partitioning medium. The material may be immiscible in the partitioning medium. The reaction surface may include a coating, and the hydrophobicity of the coating may be greater than a hydrophobicity of the partitioning medium. The application of the

programmable manipulation force may include applying a driving signal to one or more driving electrodes arranged in an array to generate the programmable manipulation force. The programmable manipulation force may include a dielectrophoretic force, an electrophoretic force, an optical force, a mechanical force, or any combination thereof. The sensing of a position may include applying a sensing signal to one or more impedance sensing electrodes arranged in an array to detect an impedance associated with the packet.

In another respect, the invention is a method of fluidic processing in which the following are provided: a reaction surface, an inlet port coupled to the reaction surface, an array of driving electrodes coupled to the reaction surface, and an array of impedance sensing electrodes coupled to the reaction surface. One or more materials are introduced onto the reaction surface with the inlet port. The one or more materials are compartmentalized to form a plurality of packets. A sensing signal is applied to one or more of the impedance sensing electrodes to determine a position of one or more of the plurality of packets. A driving signal is applied to one or more of the driving electrodes to generate a programmable manipulation force on one or more of the plurality of packets at the position. One or more of the plurality of packets are interacted according to the programmable manipulation force.

In other aspects, at least one of the plurality of packets may include a fluid packet, an encapsulated packet, or a solid packet. The sensing signal and the driving signal may be a single processing signal. The processing signal may include a first frequency component corresponding to the sensing signal and a second frequency component corresponding to the driving signal. A packet distribution map may be formed according to the positions of the plurality of packets. A position of one or more obstructions on the reaction surface may be determined. The interacting of one or more packets may include moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any combination thereof.

In another respect, the invention is a method for manipulating one or more packets on a reaction surface in which the following are provided: a programmable

dielectrophoretic array coupled to the reaction surface and an impedance sensing array of electrodes integral with the programmable dielectrophoretic array. A material is introduced onto the reaction surface. The material is compartmentalized to form the one or more packets. A path is specified upon the reaction surface. A programmable manipulation force is applied with the programmable dielectrophoretic array on the one or more packets to move the one or more packets along the path. A position of the one or more packets is sensed with the impedance sensing array of electrodes. Whether the position corresponds to the path is monitored. The one or more packets are interacted.

In other aspects, at lease one of the one or more packets may include a fluid packet, an encapsulated packet, or a solid packet. The method may also include sensing a position of an obstruction; determining a modified path, the modified path avoiding the obstruction; and applying a programmable manipulation force on the one or more packets to move the one or more packets along the modified path. The specification of a path may include specifying an initial position and a final position. The introduction of the material may include extracting the material with a dielectrophoretic extraction force from an injector onto the reaction surface. The interacting of one or more packets may include moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any combination thereof.

Other features and advantages of the present invention will become apparent with reference to the following description of typical embodiments in connection with the accompanying drawings wherein like reference numerals have been applied to like elements, in which:

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a simplified schematic diagram that illustrates a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 2 is a simplified illustration of dielectrophoretic force phenomenon.

FIG. 3 illustrates a position sensing system according to one embodiment of the presently disclosed method and apparatus.

FIG. 4 is a three dimensional view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 5 is a side cross sectional view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 6 is a simplified block representation of a microfluidic system according to one embodiment of the presently disclosed method and apparatus.

FIG. 7 is a simplified block representation of a signal application arrangement according to one embodiment of the presently disclosed method and apparatus.

FIG. 8 is a cross sectional view of microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 9 is a top view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 9B is another top view of a microfluidic device according to one embodiment of the presently disclosed method and apparatus.

FIG. 10 is a simplified block representation of a microfluidic system according to one embodiment of the presently disclosed method and apparatus.

FIG. 11 is a top view of a microfluidic device showing a microfluidic process according to one embodiment of the presently disclosed method and apparatus.

FIG. 12 illustrates certain packet interactions according to one embodiment of the presently disclosed method and apparatus.

FIG. 13 is a flow chart showing a microfluidic process according to one embodiment of the presently disclosed method and apparatus.

DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

The disclosed method and apparatus provide many advantages. For instance, they permit the fluidic processing of minute quantities of samples and reagents. The apparatus need not use conventional hardware components such as valves, mixers, pump. The apparatus may be readily miniaturized and its processes may be automated or programmed. The apparatus may be used for many different types of microfluidic processing and protocols, and it may be operated in parallel mode whereby multiple fluidic processing tasks and reactions are performed simultaneously within a single chamber. Because it need not rely on narrow tubes or channels, blockages may be minimized or eliminated. Further, if obstructions do exist, those obstructions may be located and avoided with position sensing techniques.

Allowing for flexible microfluidic processing, the disclosed method and apparatus has vast applications including, but not limited to, blood and urine assays, pathogen detection, pollution monitoring, water monitoring, fertilizer analysis, the detection of chemical and biological warfare agents, food pathogen detection, quality control and blending, massively parallel molecular biological protocols, genetic engineering, oncogene detection, and pharmaceutical development and testing.

In one embodiment of the disclosed method and apparatus, a fluidic device **10** as shown in **FIG. 1** is employed. As illustrated, fluidic device **10** may include a reaction surface **12**, a port **15**, packets **21**, wall **22**, position sensor **23**, a force generator **25**, and a controller **81**.

In operation, one or more materials may be introduced onto reaction surface **12** through port **15**. The one or more materials may be compartmentalized to form packets **21** within a partitioning medium (not shown). Force generator **25** generates a manipulation force on packets **21** to facilitate fluidic manipulations and interactions. In the illustrated embodiment, force generator **25** generates two forces, F_1 and F_2, that

manipulate packets 21 and moves them according to the dashed lines of **FIG. 1**. Position sensor **23** senses the positions of packets **21** and is able to monitor any packet interactions. As position sensor **23** is coupled to force generator **25** by controller **81**, a feedback relationship may be established. Such feedback may include determination of the position of packets **21** on reaction surface **12** that allows for the application of manipulation forces on packets **21** based on position information. The position of packets during manipulation may thus be continuously monitored and this information may be used to continuously adjust one or more manipulation forces so to achieve movement of packets **21** along a desired trajectory to a desired location on reaction surface **12**.

In the illustrated embodiment of **FIG. 1**, forces F_1 or F_2 may include many different types of forces. For instance, forces F_1 and F_2 may be dielectrophoretic, electrophoretic, optical (as may arise, for example, through the use of optical tweezers), mechanical (as may arise, for example, from elastic traveling waves or from acoustic waves), or any other suitable type of force (or combination thereof). In one embodiment, forces F_1 and F_2 may be programmable. Using programmable forces, packets may be manipulated along arbitrarily chosen paths.

In the illustrated embodiment of **Fig. 1**, position sensor **23** may be operated with various mechanisms to sense positions of packets **21**. For instance, an optical imaging system may be used to determine and monitor packet positions. Specifically, an optical microscope may be connected to a CCD imaging camera, which may be interfaced with an imaging card in a computer. The information from the imaging card may be processed in the computer using image-analysis software. Alternatively, a CCD imaging device may be incorporated in or above the reaction surface **12** to monitor the positions of packets. Thus, positions of packets and their movement on reaction surface **12** may be continuously monitored and recorded in the computer. A different mechanism of packet position sensing uses electrical impedance measurements. The presence or absence of a packet between two electrode elements may affect the electrical impedance between the

electrodes. Thus, measurement of electrical impedance between electrode elements may allow for indirect monitoring of packet positions.

In order to better understand the operation and design of the currently disclosed method and apparatus, which will be discussed first in relation to dielectrophoretic forces, it is useful to discuss dielectrophoretic theory in some detail. Such a discussion is aided by **FIG. 2**, which illustrates two packets, **21a** and **21b**, both being subjected to dielectrophoretic forces.

Dielectrophoretic forces may arise when a packet is placed in an inhomogeneous electrical field (AC or DC). In **Fig. 2** the electrical field is weaker on the left side than on the right side. An electrical field induces electrical polarizations in the packet. The polarization charges are depicted at the two ends of the packets **21a** and **21b** along the field lines 35. Dielectrophoretic forces result from the interaction between the induced polarization (labeled as m_1 and m_2 in **FIG. 2**) and the applied inhomogeneous field. If a packet is suspended in a medium having different dielectric properties, such as a partitioning medium, then the packet may remain compartmentalized and may readily respond to manipulation forces against viscous drag. In a field of non-uniform strength, a packet may be directed towards either strong (packet **21a**) or weak (packet **21b**) electrical field regions, depending on whether the packet is more (packet **21a**) or less (packet **21b**) polarizable than a partitioning medium. In a field of non-uniform phase distribution (i.e. a traveling electrical field), a packet may be directed towards field regions of larger or smaller phase distribution, depending whether the packet has a longer or shorter dielectric response time than that of a partitioning medium.

DEP theory

When a packet of radius *r*, suspended in an immiscible medium of different dielectric properties, is subjected to an electrical field of frequency *f*, the polarization of the packet can be represented using an effective dipole moment (Wang *et al.*, "A Unified Theory of Dielectrophoresis and Traveling Wave Dielectrophoresis", Journal of Physics D: Applied Physics, Vol 27, pp. 1571-1574, 1994, incorporated herein by reference)

$$\bar{m}(f) = 4\pi\varepsilon_m\, r^3 P_{CM}(f)\bar{E}(f) \qquad (1)$$

where $\bar{m}(f)$ and $\bar{E}(f)$ are the dipole moment and field vectors in the frequency domain, $P_{CM}(f)$ is the so-called Clausius-Mossotti factor, given by

$$P_{CM}(f) = (\varepsilon_d^* - \varepsilon_m^*)/(\varepsilon_d^* + 2\varepsilon_m^*). \qquad (2)$$

Here $\varepsilon_k^* = \varepsilon_k - j\sigma_k/(2\pi f)$ are the complex permittivities of the packet material ($k = d$) and its suspension medium ($k = m$), and ε and σ refer to the dielectric permittivity and electrical conductivity, respectively. Using the effective dipole moment method, the DEP forces acting on the packet are given by

$$\bar{\bar{F}}(f) = 2\pi\, r^3 \varepsilon_m \left(\mathrm{Re}[P(f)]\nabla E^2_{(rms)} + \mathrm{Im}[P(f)]\left(E^2_{x0}\nabla\varphi_{x0} + E^2_{y0}\nabla\varphi_{y0} + E^2_{z0}\nabla\varphi_{z0}\right)\right) \qquad (3)$$

where $E(rms)$ is the RMS value of the field strength, E_{i0} and φ_{i0} (i=x; y;z) are the magnitude and phase, respectively, of the field components in a Cartesian coordinate frame. Equation (3) shows that the DEP force contains two independent terms. The first, relating to the real (in phase) part of the polarization factor $\mathrm{Re}[P(f)]$ and to non-uniformities in the field *magnitude* ($\nabla E^2_{(rms)}$). Depending on the sign of $\mathrm{Re}[P(f)]$, this force directs the packet either toward strong or weak field regions. The second term relates to the imaginary (out of phase) part of the polarization factor ($\mathrm{Im}[P(f)]$) and to field phase non-uniformities ($\nabla\varphi_{i0}$, i=x; y; z) that correspond to the field traveling through space from large to small phase regions. Depending on the sign of $\mathrm{Im}[P(f)]$, this directs packets toward regions where the phase values of the field components are larger or smaller.

Equations (1-3) indicate that the DEP phenomena have the following characteristics:

(1) DEP forces experienced by packets are dependent on the dielectric properties of the packets (ε_d^*) and the partitioning medium (ε_m^*).

(2) The strong dependence of three-dimensional DEP forces on the field configuration allows for versatility in implementing dielectrophoretic manipulations.

DEP Forces on Packets

In one embodiment, a conventional dielectrophoresis component may be used for packet manipulation. In this case, the DEP force is given by

$$\bar{\bar{F}}(f) = 2\pi\ r^3 \varepsilon_m \,\mathrm{Re}[P(f)]\nabla E^2_{(rms)} \qquad (4)$$

where r is the packet radius, ε_m is the dielectric permittivity of the suspending fluid. $\mathrm{Re}[P(f)]$ is the real (in phase) part of the polarization factor and $\nabla E^2_{(rms)}$ is the field non-uniformity factor. For packets of water (ε = 78 and $\sigma > 10^{-4}$ S/m) suspended in a hydrocarbon fluid (ε = ~ 2 and σ ~ 0), the factor $\mathrm{Re}[P(f)]$ is always positive and close to unity. Therefore, water packets are always attracted towards regions of large field strength. For example, if an electrode array composed of circular electrodes arranged in a hexagonal fashion is provided, water packets may be dielectrophoretically moved towards and trapped between, for example, an electrode pair, over a single electrode, or above a plurality of electrodes to which electrical signals are applied. Switching the electrical signals may result in movement of the DEP traps and may cause water packets to move in a chamber. Thus, packet manipulation may be realized by switching electrical signals applied to an electrode array so that DEP field traps are made "mobile" within a chamber.

Typical Forces and Velocities

For a water packet of 100 μm suspended in a hydrocarbon fluid such as decane, the DEP force may be on the order of 1000 pN if the field non-uniformity is 1.25×10^{13} V^2/m^3 (equivalent to 5V RMS applied to an electrode pair of distance 50 μm with the field decaying to zero at 1000 μm). If the viscosity of the hydrocarbon fluid is small (0.838 mPa for Decane), the packet velocity may be of the order of 600 μm/sec, indicating that fast manipulation of packets is possible with electrode arrays. In the above

analysis, DEP force equation (4) has been used, which was developed for non-deformable particles and holds well for suspended particles (such as cells, latex particles). Fluid packets may be deformed under the influence of applied electrical field, affecting the accuracy of equation (4) in describing DEP forces for packets. Nevertheless, equation (4) should be generally applicable with some possible correction factors for different packet shapes.

FIG. 3 shows one possible implementation of position sensor **23** of **FIG. 2**. Shown in **FIG. 3** are five impedance sensing electrodes **19**, here illustrated as **19a**, **19b**, **19c**, **19d**, and **19e**. Each sensing electrode **19** may be coupled to an impedance sensor **29**, here illustrated as impedance sensors **29a**, **29b**, **29c**, and **29d**. In one embodiment, impedance sensing electrodes **19** may be positioned in operative relationship with surface **12** of fluidic device **10** in **FIG. 1**. For instance, sensing electrodes **19** may be placed on or near surface **12**. As packets **21** are manipulated about surface **12** by the application of appropriate manipulation forces, impedance sensing electrodes **19** and sensors **29** may sense a position of packets **21** by making one or more impedance measurements.

If the dielectric medium above an electrode is displaced by a packet having different dielectric and/or conductive properties, the impedance detected at the electrode element will change. Thus, one may determine the position of packets **21** by noting the impedance measurements associated therewith. As is shown in **FIG. 3**, the impedance between impedance sensing electrodes **19a** and **19b** is "high" (see impedance sensor **29d**) relative to, for instance, the impedance between impedance sensing electrodes **19b** and **19c** (see impedance sensor **29c**). Thus, by pre-determining that the "high" impedance value corresponds to the impedance due to the partitioning medium, it may be deduced that some material of different impedance to the partitioning medium lies somewhere between impedance sensing electrodes **19d** and **19e** and between **19b** and **19c** because the impedance associated with those electrodes is " low" (see impedance sensor **29a**). By like reasoning, one may assume that no packet lies between impedance sensing electrodes **19c** and **19d**, for the impedance between those two electrodes is relatively " high" (see impedance sensor **29b** and **29c**).

Those of skill in the art will appreciate that the "low" and "high" values discussed above may be reversed, depending upon the relative impedances of a packet and of a suspending medium. In other words, in some situations, a relatively "high" impedance measurement may signal the presence of a packet in between a pair of electrodes while a relatively "low" impedance may signal the lack of a packet. Those of skill in the art will also appreciate that individual impedance measurements may exhibit a wide range of values (not just "low" or "high"), and it may be possible to characterize different types and sizes of materials by noting their associated impedance measurements. For instance, one may be able to differentiate, by type, the two packets **21** of **FIG. 3** by noting any differences in their impedance readings on impedance sensors **29a** and **29c**.

Impedance sensing may be based on the so-called mixture theory, which associates the impedance of a heterogeneous system with the dielectric properties of various system components and their volume fractions. Take a two-component, heterogeneous system where component 2 having complex dielectric permittivity ($\varepsilon_2^* = \varepsilon_2 - j\frac{\sigma_2}{2\pi f}$, f is the frequency) and a volume fraction α is suspended in component 1 having complex dielectric permittivity ($\varepsilon_1^* = \varepsilon_1 - j\frac{\sigma_1}{2\pi f}$). The complex permittivity of the total system is given by (Wang *et al.*, "Theoretical and experimental investigations of the interdependence of the dielectric, dielectrophoretic and electrorotational behavior of colloidal particles" in J. Phys. D: Appl. Phys. 26: 312-322, 1993, incorporated herein by reference)

$$\varepsilon_{sys}^* = \varepsilon_1^* \frac{\frac{1}{\alpha} + 2\frac{\varepsilon_2^* - \varepsilon_1^*}{\varepsilon_2^* + 2\varepsilon_1^*}}{\frac{1}{\alpha} - \frac{\varepsilon_2^* - \varepsilon_1^*}{\varepsilon_2^* + 2\varepsilon_1^*}}.$$

The total impedance of the system, which is assumed to have length L and cross-sectional area A, is given by

$$\Omega = \frac{L}{\omega \varepsilon^*_{sys} A}.$$

The electrical impedance between two electrode elements in the presence or absence of a packet may be analyzed using the above equations, with the parameters L and A determined experimentally. The existence of a packet may correspond to $\alpha>0$ and the absence of a packet may correspond to $\alpha=0$. From these equations, an impedance change would occur when a packet having different dielectric property (ε_2^*) from the partitioning media (ε_1^*) is introduced into the space between the two electrode elements.

A relatively low impedance measurement may indicate an obstruction or a packet (as is illustrated in **FIG. 3**) on or near surface **12**. By determining impedance values, one may map locations of obstructions or packets relative to surface **12**. In this way, one may generate a packet and/or obstruction distribution map with respect to reaction surface **12** of fluidic device **10**. With the benefit of this disclosure, one of skill in the art will appreciate that the description associated with **FIG. 3** may be implemented in many different ways. In particular, one may use any suitable type of impedance measurement devices known in the art to function with one or more electrodes. Such devices may include an impedance analyzer, a DC/AC conductance meter, or any circuit based upon methods of operation of these or other instruments having similar function.

FIG. 4 shows a three dimensional view of one embodiment of a fluidic device **10** according to the present disclosure. Fluidic device **10** includes reaction surface **12**, an inlet port **14**, an outlet port **16**, driving electrodes **18**, impedance sensing electrodes **19**, connectors **20**, and wall **22**.

Reaction surface **12** provides an interaction site for packets. In one embodiment, reaction surface **12** may be completely or partially covered with a partitioning medium (not shown in **FIG. 4**) or other substance. In one embodiment, reaction surface **12** may be coated. In particular, for manipulation of aqueous packets in a hydrophobic partitioning medium, reaction surface **12** may include a hydrophobic coating, or layer,

having a hydrophobicity similar to or greater than the hydrophobicity of the partitioning medium. Such a coating may prevent an aqueous packet from sticking, from spreading, or from becoming unstable upon contact with reaction surface **12**. Additionally, a coating may modify association and/or interaction forces between packets and reaction surfaces to facilitate manipulation of packets by appropriate manipulation forces. Further, a coating may be used to reduce contamination of reaction surfaces by reagents in packets. Still further, a coating may facilitate the deliberate adhesion, wetting, or sensing of packets at or on reaction surfaces. If a dielectric layer coating is applied, the layer should be made sufficiently thin to allow AC electric field penetration through the dielectric layer. In one embodiment, the thickness of the layer may be between about 2 nm and about 1 micron. In one embodiment, a hydrophobic coating may be Teflon that may be applied by means known in the art such as sputtering or spin-coating. It is to be understood that any other suitable coating that modifies an interaction between packets and the reaction surface may be used.

Reaction surface **12** may be formed from a number of suitable materials. In the illustrated embodiment, reaction surface **12** is a planar surface that has an upper surface including driving electrodes **18** and impedance sensing electrodes **19**. Although illustrated as being coplanar with reaction surface **12**, it is to be understood that driving electrodes **18** and **19** may also be elevated or depressed with respect to reaction surface **12**. Likewise, reaction surface **12** need not be planar. Rather, it may have concave or convex portions, or it may be deformed in some other manner. Reaction surface **12** may be glass, silicon dioxide, a polymer, a ceramic, or any suitable electrically insulating material. The dimensions of reaction surface **12** may vary widely depending on the application but may be between about 20 microns by about 20 microns and about 50 centimeters by about 50 centimeters. More particularly, reaction surface **12** may be between about 3 millimeters by about 3 millimeters and about 30 centimeters by about 30 centimeters.

Inlet port **14** may be adapted to inject or introduce materials onto reaction surface **12** and may be any structure allowing ingress to reaction surface **12**. In the

illustrated embodiment, inlet port **14** consists of an opening in wall **22**. Such an opening may be of any suitable size or shape. Alternatively, inlet port **14** may be a syringe needle a micropipette, a tube, an inkjet injector, or any other suitable device able to inject a material for introduction onto reaction surface **12**. Using a micropipette or equivalent device, wall **22** may not need to include any openings. Rather, material may be introduced onto reaction surface **12** from above. A micropipette or any other equivalent device may be attached to a micromanipulation stage (not shown in **FIG. 4**) so that material may be precisely deposited onto specific locations of reaction surface **12**. In one embodiment, inlet port **14** may consist of a cylindrical tube opening onto reaction surface **12**. Such a tube may have a diameter of between about 1 micrometer and about 1 mm and, more particularly, between about 10 and 100 microns.

Outlet port **16** may be adapted to collect packets of material from reaction surface **12**. Outlet port **16** may be any structure allowing egress from reaction surface **12**. In the illustrated embodiment, outlet port **16** consists of an opening in wall **22**. The opening may be of any suitable size or shape. Alternatively, outlet port **16** may be a micropipette or any other equivalent device able to collect a material from reaction surface **12**. Wall **22** may not need to include any openings. Rather, packets of material may be collected from reaction surface **12** from above. A syringe or any other equivalent device may be attached to a micromanipulation stage (not shown in **FIG. 4**) so that packets may be precisely collected from specific locations on reaction surface **12**. In one embodiment, outlet port **16** may consist of a cylindrical tube opening onto reaction surface **12**. Such a tube may have a diameter of about 1 millimeter and a length of about 3 centimeters or longer.

In one embodiment, inlet port **14** and outlet port **16** may be integral. For instance, in the embodiment shown in **FIG. 1** port **15** is a cylindrical tube opening onto reaction surface **12**. In alternative embodiments, one micropipette may serve as both an inlet port and an outlet port. Alternatively, a single opening in wall **22** may serve both input and output functions. In another embodiment, multiple inlet and outlet ports may be utilized.

Fluidic device **10** may include an arbitrary number of inlet and outlet ports. For example, any one of the three unnumbered openings in wall **22**, illustrated in **FIG. 4**, may serve as an inlet port, an outlet port, or an integral inlet-outlet port, such as port **15** of **FIG. 1**. In another embodiment, multiple inlet and/or outlet ports may extend completely or partially along a wall **22** so that materials may be introduced and/or collected to and/or from reaction surface **12**. In such an embodiment, one may more precisely introduce or collect materials.

In **FIG. 4**, driving electrode **18** is one of a number of other driving electrodes arranged in an array upon reaction surface **12**. In this embodiment, driving electrodes **18** may be associated with force generator **25** of **FIG. 1**, for the driving electrodes **18** may contribute to the generation of forces, such as forces F_1 and F_2 of **FIG. 1**, to manipulate packets of material on reaction surface **12** to promote, for instance, microfluidic interactions.

Dielectrophoretic forces may be generated by an array of individual driving electrodes **18** fabricated on an upper surface of a reaction surface **12**. The driving electrode elements **18** may be individually addressable with AC or DC electrical signals. Applying an appropriate signal to driving electrode **18** sets up an electrical field that generates a dielectrophoretic force that acts upon a packet, known to be at a certain location through impedance measurements as described above in relation to **FIG. 3**. Switching different signals to different electrodes sets up electrical field distributions within fluidic device **10**. Such electrical field distributions may be utilized to manipulate packets in a partitioning medium.

In particular, the movement of packets under the influence of a manipulation force may be controlled by switching appropriate electrical signals to different combinations of driving electrodes **18**. Specifically, the switching of electrical signals may initiate different field distributions and generate manipulation forces that trap, repel, transport, or perform other manipulations upon packets of material. By programmably switching electrical signals to different combinations of driving electrodes **18** within an array,

electric field distributions and manipulation forces acting upon packets may be programmable so that packets may be manipulated along arbitrarily chosen or predetermined paths in a partitioning medium along reaction surface **12**. Thus, packets may be manipulated in an unlimited manner. Signals may be appropriately switched to cause, for instance, a packet to move a single "unit distance" -- a distance between two neighboring electrodes. Further, by programmably switching electrical signals, different microfluidic reactions may be performed in series or in parallel. An electrode array having such an ability to utilize programmable dielectrophoretic forces by programmed switching of electrical signals to different combinations of driving electrodes **18** may be termed a programmable dielectrophoretic array (PDA).

In **FIG. 4**, impedance sensing electrode **19** is one of a number of other impedance sensing electrodes arranged in an array upon reaction surface **12**. In this embodiment, impedance sensing electrodes **19** may be associated with position sensor **23** of **FIG. 1** and is illustrated in **FIG. 3**. Impedance sensing electrodes **19** contribute to the sensing of packet positions upon reaction surface **12** so that those packets of material may be monitored and manipulated according to position.

In the illustrated embodiment, driving electrodes **18** and impedance sensing electrodes **19** are electrodes of a two dimensional electrode array coupled to a top surface of reaction surface **12**. The size of the array may vary according to need, but in one embodiment a 16 by 16 array is employed. Because fluidic device **10** is scaleable, smaller or larger arrays may be fabricated without significant departure from the present disclosure. For example, 256 by 256 arrays or larger may be made according to the present disclosure. Driving electrodes **18** and impedance sensing electrodes **19** within an array may be uniformly or non-uniformly spaced. The spacing may vary widely, but in one embodiment, the spacing may be between about 2 microns and about 200 microns. The electrodes may have different forms such as lines, squares, circles, diamonds, polygons, or other suitable shapes. The dimensions of each electrode may vary, but a typical electrode may be between about 0.2 microns and about 10 mm., and more particularly, between about 1 micron and about 200 microns. Driving electrodes **18** and

impedance sensing electrodes **19** may be formed using any method known in the art. In one embodiment, such electrodes may be formed using standard photolithography techniques. For example, one may refer to, e.g., D. Qin *et al*, "Microfabrication, Microstructures and Microsystems", Microsystem Technology in Chemistry and Life Sciences (Ed. Manz and Becker), Springer, Berlin, 1997, pp 1- 20, which is incorporated herein by reference. Also, one may refer to Madou, Fundamentals of Microfabrication, CRC Press, Boca Raton, 1997, which is incorporated herein by reference. Depending upon the particular application, and the nature of the packets and partitioning medium, the size and spacing of electrodes **18** and **19** may be smaller than, of similar size, or larger than the diameters of the packets.

In one embodiment, impedance sensing electrodes **19** may be integral with driving electrodes **18**. In such an embodiment, the resulting array may be termed an integral array. With an integral array, a single conductor coupled to reaction surface **12** may serve both purposes -- driving packets and sensing positions of packets. Thus, a programmable manipulation force may be generated upon packets upon reaction surface **12** and a position of those packets may be sensed with a single electrode array.

In the embodiment of **FIG. 4**, wall **22** is adapted to enclose one or more sides of reaction surface **12**. It is to be understood that wall **22** may be any suitable structure capable of enclosing one or more sides and/or the top of reaction surface **12**. As illustrated, wall **22** encloses four sides of reaction surface **12**, defining an open reaction surface chamber. In a most typical embodiment, the chamber may have a thickness of between about 10 microns and about 20 millimeters. In another embodiment, wall **22** may enclose the top of reaction surface **12**, forming a closed reaction chamber.

Wall **22** may be formed from any suitable material. In one embodiment, wall **22** may be made from machined plastic, aluminum, glass, plastic, ceramic, or any combination thereof. In one embodiment, wall **22** may be partially or completely transparent to certain wavelengths of radiation. Thus, radiation may be transmitted

through wall **22** to initiate or maintain certain microfluidic reactions or processes for sensing. For instance, a photochemical reaction may be initiated through wall **22**.

Connectors **20** of **FIG. 4** may be adapted to provide electrical connections to driving electrodes **18** and to impedance sensing electrodes **19**. Connectors **20** may provide electrical connections to an entire array of electrodes, or to preselected ones or groups. In one embodiment, connectors **20** are coupled to a controller (not shown in **FIG. 4**) that may adjust a programmable manipulation force distribution generated by driving electrodes **18** according one or more packets position sensed with impedance sensing electrodes **19**. Thus, such a controller may effectively provide a feedback mechanism between the driving electrodes **18** and the impedance sensing electrodes **19** -- The signals applied to driving electrodes **18** may be adjusted in view of one or more results from the impedance sensing electrodes **19**.

Turning now to **FIG. 5**, there is shown a side cross section view of a fluidic device **10** according to the present disclosure. Fluidic device **10** includes a reaction chamber **41** and an array of integral impedance sensing and driving electrodes, integral array **43**. In the illustrated embodiment, a control chip **60** is coupled to integral array **43**. Positioned upon a top surface of control chip **60** may be capillary wall **62** that forms a lower surface of a capillary **64**. Capillary **64** may lead to an inlet port **14** that leads into chamber **41**. Although illustrated with only one inlet port, it is contemplated that there may be several such ports providing access to chamber **41**. Above capillary **64** is a substrate **66** that, in one embodiment, is made of glass although any suitable material known in the art may be utilized instead.

In one embodiment, control chip **60** may be an integrated circuit configured to control integrated array **43**. Alternatively, control chip **60** may be a control interface leading to another controlling device such as an integrated circuit, computer, or similar device that may control integral array **43**. Control chip **60** may utilize flip-chip technology or any other suitable technique to establish electrical control over integral array **43** by switching different signals to and from it.

FIG. 6 shows a controller 81 according to one embodiment of the presently disclosed method and apparatus. Controller 81 may include a computer 80, a signal generator 82, an electrode selector 84, a transducer 88, and a fluidic device 10 having a driving electrode 18 and an impedance sensing electrode 19.

Computer 80 may be configured to control fluidic device 10 and the fluid processing occurring upon reaction surface 12. Computer 80 may have a user interface that allows for simple programming of signal generator 82 and transducer 88, which measures impedance, to allow for programmable microfluidic processing. In particular, computer 80 may programmably control the initiation/termination of one or more signals from signal generator 82, the parameters of the one or more signals including frequencies, voltages, and particular waveforms, and control the switching of one or more signals from generator 82 to different combinations of electrodes 18 and 19.

Computer 80 may vary signals in many ways. For instance, one signal having a first frequency component may be sent through electrode selector 84 to a driving electrode 18 while another signal having a second, different frequency component may be sent to, for instance, an impedance sensing electrode 19 and through electrode selector 84. Any sequence of signals or combinations of signals may be sent different combinations of electrodes and from the fluidic device 10. Any signal parameter may be varied and any electrode selection may be controlled so that appropriate electric fields may be established at particular locations upon reaction surface 12. Alternating Current or Direct Current signals may be utilized.

Signal generator 82 may send a driving signal to one or more driving electrodes 18 while sending a sensing signal to one or more impedance sensing electrodes 19. In one embodiment, the driving signal and the sensing signal may comprise a single, composite processing signal having different frequency components. Such a signal may be used with an integrated array to provide (*via* a single processing signal) a frequency component to generate a programmable manipulation force and a frequency component to provide an impedance sensing signal. The manipulation and impedance sensing

components may also be combined by multiplexing or switching in time as is known in the art.

In one embodiment, signal generator **82** provides one or more programmable driving signals to one or more driving electrodes **18** through electrode selector **84** so that a programmable alternating-current electric field, such as a non-uniform field, may be produced at reaction surface **12**. That electric field may induce polarization of packets of materials adjacent to or in the vicinity of the one or more driving electrodes **18**. A programmable dielectrophoretic force distribution may, in this manner, be generated that manipulates packets in a controllable, programmable manner so that varied programmable fluidic interactions may take place upon reaction surface **12**.

In one embodiment, signal generator **82** provides a sensing signal to one or more impedance sensing electrodes **19** so that an impedance measurement may be made. The impedance sensing signal may be applied to one or more pairs of impedance sensing electrodes **19** and a change in voltage or current may be detected and transmitted to computer **80** *via* sensing electrodes **88** and wire **86**. Computer **80** may then compute the impedance and hence, determine whether a packet or obstruction was present at or near the pair(s) of impedance sensing electrodes **19** being probed.

In an embodiment utilizing a single integrated array (instead of separate impedance sensing and driving electrode arrays, an integrated array utilizes electrodes that function to both drive and sense packets), the integrated array may both generate a programmable manipulation force and sense an impedance. In one approach, electrical sensing signals for sensing electrode impedance may be applied at different frequencies from driving signals for manipulation of packets. Summing signal amplifiers (not shown) may be used to combine signals from sensing and driving electronics. By using a frequency filter network (not shown), electrode impedance sensing signals may be isolated from the driving signals. For example, a constant current at sensing frequency f_s may be applied to integrated electrode pairs to be measured. The sensing electronics 88 , may then be operated at only the applied frequency f_s to determine a voltage drops across

the integrated electrode pairs, thus allowing the impedance at the sensing frequency f_s to be derived without interference from the driving signals.

In another embodiment, driving signals may be used to monitor electrical impedance directly. Driving signals may be switched to one or more integrated electrodes to generate a force to manipulate or interact packets upon a reaction surface. Simultaneously, an electrical current sensing circuit may be used to measure electrical current going through the energized integrated electrodes. Electrode impedances may be derived from such measurements of electrical current.

Although any suitable device may be used, in one embodiment a function generator is used as signal generator **82**. More particularly, an arbitrary waveform signal generator in combination with voltage or power amplifies or a transformer may be used to generate the required voltages. In one embodiment, signal generator **82** may provide sine-wave signals having a frequency up to the range of GHz and more particularly between about 1 kHz and about 10 MHz and a voltage between about 1 V peak-to-peak and about 1000 V peak-to-peak, and more particularly, between about 10 V peak-to-peak and about 100 V peak-to-peak.

As illustrated, signal generator **82** may be connected to an electrode selector **84**. Electrode selector **84** may apply one or more signals from signal generator **82** to one or more individual electrodes (impedance sensing electrodes and/or driving electrodes may be individually addressable). Electrode selector **84** may be one of a number of suitable devices including a switch, a multiplexer, or the like. Alternatively, electrode selector **84** may apply one or more signals to one or more groups of electrodes. In one embodiment, selector **84** is made of electronic switches or a multiplexer. Selector **84** may be digitally-controlled. With the benefit of this disclosure, those of skill in the art will understand that selector **84** may be any suitable device that may programmably divert one or more signals to one or more electrodes in any arbitrary manner.

As illustrated in **FIG. 6**, controller **81** provides a feedback loop mechanism from impedance sensing electrodes **19** to driving electrodes 18 via computer **80**, which itself is

coupled to signal generator **82**, selector **84**, and transducer **88**. With the benefit of the present disclosure, those of skill in the art will recognize that controller **81** may contain more or fewer components. The feedback mechanism allows computer **80** to tailor its commands to signal generator **82** according to positions of packets upon reaction surface **12**, as determined by impedance sensing electrodes **19**. Thus, controller **81** allows for the adjustment of driving signals (and hence the adjustment of programmable manipulation forces) according to positions of packets (as determined by impedance sensing electrodes **19**). In embodiments utilizing an integral array of electrodes having integral impedance sensing electrodes 19 and driving electrodes 18, a feedback mechanism may operate as follows. Positions of packets may be determined by measuring impedances between electrical elements by applying impedance sensing signals to the integral array. Position information may then be used to control driving signals to the integral array to perform microfluidic processing through the manipulation of packets. In one embodiment computer **80** may be replaced by an application specific integrated circuit controller (ASIC) designed specifically for the purpose.

FIG. 7 shows an electrode driver **94** according to an embodiment of the presently disclosed method and apparatus. Driver **94** includes a computer **80**, a signal generator **82**, a resistor network **100**, a switching network **104**, and a bitmap **108**. Driver **94** is coupled to fluidic device **10** which includes reaction surface **12** and an integral array **43**.

Driver **94** may assist in the application of signals to integral array **43** in order to direct microfluidic interactions of packets of material upon reaction surface **12**. In one embodiment, computer **80** directs signal generator **82** to apply an AC signal to integral array **43**. In the illustrated embodiment, from signal generator **82** there may be provided, for example, eight increasing voltage amplitudes using resistor network **100**, although more or fewer voltage amplitudes may be used . The eight AC signals may be distributed by switching network **104** *via* connection **106** to the integral array **43** according to a bitmap **108** or according to any other suitable data structure stored in computer **80** or in another device. By modifying bitmap **108** *via* computer **80**, different voltage amplitudes may be applied to different electrodes.

In one embodiment, signals to each electrode of integral array **43** may be represented in bitmap **108** by 3 bits to address eight available voltage amplitudes. Voltage amplitude distributions of bitmap **108** may be transmitted sequentially to switching network **104** *via* connection **110** twelve bits at a time using a communication protocol as is known in the art. In one embodiment, the communication protocol may use the following convention. To address a single electrode of integral array **43**, the first four bits may specify the row of the array. The second four bits may specify the column of the array. The next three bits may specify the desired voltage to be applied. The last bit may be used for error control by parity check. The rows/column arrangement may be used for different layouts of arrays. For instance, the row/column convention of addressing may be used even for a hexagonal grid array configuration. Those skilled in the art will appreciate that other methods may be used to address the electronic switching network **104** from computer **80**.

FIG. 8 is side cross-section view of one embodiment of a fluidic device **10**. Fluidic device **10** includes a wall **22** which encloses the sides and top of a reaction surface **12** to form a reaction chamber **41**. Reaction surface **12** includes an integral array **43**. Coupled to the integral array may be an interface board **54**. Interface board 54 may interface the integral array **43** with integrated circuits **50** *via* interconnect **55** and solder bumps **52**.

In the embodiment of **FIG. 8**, interface board **54** may be sandwiched between chamber **41** and integrated circuits **50**. On one side, interface board **54** may provide electrical signals (AC or DC) to electrodes of integral array **43**, while the other side of interface board **54** may include pads for flip-chip mounting of integrated circuits **50**. Intermediate layers of interface board **54** may contain electrical leads, interconnects and vias, such as interconnect **55** to transfer power and signals to and from electrodes of integral array **43** and integrated circuits **50**.

Interface board **54** may be fabricated using suitable PC-board and flip chip technologies as is known in the art. Suitable silk-screened or electroplated flip-chip

solder bump techniques may likewise be used. Alternatively, ink-jet solder deposition may be used as is known in the art.

FIG. 9 is a top view of an embodiment of a fluidic device **10**. In the illustrated embodiment, fluidic device **10** is made up of four distinct 8 by 8 integral arrays **43**, forming a 16 by 16 array. Under each 8 by 8 array may be situated an integrated circuit (not shown in **FIG. 9**) that may provide control and signal processing to electrodes of the integral array **43**. The integral arrays may be coupled to a circuit conducting pad **34** that may be coupled to an interface conducting pad **36** by a bond wire **38** (shown only in one quadrant). Connected to interface conducting pad **36** may be wire **42**, or another suitable connector such as a PC board connector, leading to a computer or other suitable controlling device.

FIG. 9B is another top view of an embodiment of a fluidic device **10**. In this embodiment, many ports **15** are situated along edges of fluidic device **10**. These ports **15** may serve to inject and/or collect packets **21** to/from reaction surface **12**. Also illustrated is a sensor **122** positioned adjacent a port **15**. Such a sensor is described in reference to **FIG. 10** below.

FIG. 10 is a block diagram of a microfluidic processing system **115**. Processing system **115** may be designed to allow for control of programmable dielectrophoretic array (PDA) **116** that serves as the site for microfluidic interactions and may be constructed in accordance with the present disclosure. In view of its broad functionality, PDA **116** may serve a role, in the field of fluidic processing, analogous to the role played by a Central Processing Unit in the field of computers.

Coupled to PDA **116** are fluidic sensors **122**. Fluidic sensors **122** may measure and monitor fluid products from, in, or on PDA **116**. For instance, fluidic sensors **122** may measure and identify reaction products and may quantify reactions between packets. In one embodiment, fluidic sensors **122** may include an optical microscope or one or more sensors (chemical, electrochemical, electrical, optical, or the like), but any other suitable monitoring device known in the art may be substituted therewith. For example,

fluidic sensors **122** may be an electrochemical sensor that monitors the presence and concentration of electroactive (redox-active) molecules in a packet solution. An electrochemical sensor may take the form of two or more microelectrodes. In a three-electrode configuration, for example, electrodes may correspond to working, reference, and counter electrodes. A packet to be analyzed may be moved to be in contact with the three electrodes. A voltage signal may be applied between the working and reference electrode, and the current between the working and counter electrode may be monitored. The voltage-current relationship allows for the determination of the presence or absence, and concentration of electro-active molecules in the packet solution. Also attached to PDA **116** may be suitable material injection and extraction devices **120** coupled to appropriate inlet or outlet ports of PDA **116** (not shown in **FIG. 10**). Such devices may be any suitable structure allowing ingress to and egress from PDA **116**.

In electrical communication with PDA **116** may be PDA voltage drivers **126** and dielectric position sensors **124**. PDA voltage drivers **126** may be adapted to drive electrodes within PDA **116** so that an electric field may be established that sets up manipulation forces that manipulate one or more packets of material within PDA **116** to promote microfluidic interactions. In one embodiment, PDA voltage drivers **126** may include a signal generator and switching network as described in relation to **FIG. 7**. Dielectric position sensors **124** may measure positions of packets within PDA **116**. In one embodiment, dielectric position sensors **124** may include measuring devices connected to appropriate sensors that may determine a position of one or more packets of material by sensing, for instance, a change in impedance between neighboring impedance sensing electrodes within PDA **116** and by correlating that change in impedance with a packet positioned adjacent the neighboring sensors according to the teachings of the present disclosure.

Coupled to packet injection and extraction devices **120**, PDA voltage drivers **126**, and dielectric position sensors **124** may be computer interface **128**. Computer interface **128** may be configured to allow host computer **130** to interact with PDA **116**. In

one embodiment, computer interface **128** may be a digital or analog card or board that may analyze impedance data to obtain a packet distribution map.

In the embodiment of **FIG. 10**, host computer **130** may be coupled to computer interface **128** to provide for control of PDA **116**. Host computer **130** may be coupled to position tracking agent **132** and to low-level control agent **134**. Position tracking agent **132** may be adapted to store, process, and track positions of packets within the fluidic processor PDA **116**. Low-level control agent **134** may be configured to provide instructions to host computer **130** from library interface **136** and software interface **138**. Library interface **136** may hold various sets of subroutines for programmably manipulating packets of materials on PDA **116**. Software interface **138** that may allow for custom programming of instructions to be executed by the fluidic processor PDA **116** to programmably manipulate packets. Alternatively established programs of manipulation instructions for specific fluid processing tests may be read from stored data and executed by the PDA fluid processor **116**.

FIG. 11 illustrates operation of the presently disclosed method and apparatus. In **FIG. 11**, open squares represent electrodes of an integral array. However, it is contemplated that the description below applies equally well to a device utilizing separate impedance sensing electrodes and driving electrodes.

In the illustrated embodiment, a packet **21a** may be introduced onto reaction surface **12** adjacent the location represented by integral impedance sensor/electrode **201**. The packet may be compartmentalized in an immiscible partitioning medium (not shown). The introduction of the packet may be accomplished using an appropriate inlet port positioned adjacent to electrode **201**. Alternatively, a packet may be introduced adjacent electrode **201** by applying an appropriate signal to electrode **201** to generate an extraction force that may extract the packet from an inlet port or from an injector directly onto reaction surface **12** and adjacent to electrode **201**.

Once positioned upon reaction surface **12**, packet **21a** may be made to move along a predetermined path indicated by dashed line **250**. A path may be specified in a number

of different ways. In one embodiment, a user may specifically define a path. For instance, one may specify a path, through appropriate programming of a controller or processing system, such as the one depicted numeral **250**. Alternatively, a user may specify a starting position and an ending position to define a path. For instance, a user may specify that packet **21a** is to be introduced adjacent electrode **201** and end at a location adjacent electrode **215**. Alternatively, one may specify a starting and ending location with specific path information in between. For instance, a user may specify a starting position, an ending position, and a wavy path in between. As can be seen from **FIG. 11**, the path may have any arbitrary shape and it may be programmed in any number of ways.

To move packet **21a** generally along the path, electrical signals may be suitably switched to integral impedance sensors/electrode pairs so that programmable manipulation forces may be created that act upon packet **21a** to propel it generally along the specified path. As discussed earlier, the signals may be varied in numerous ways to achieve the proper manipulation force. In the illustrated embodiment, applying voltage signals to electrode pairs **202** and **203** may create an attractive dielectrophoretic force that moves packet **21a** from electrode **201** towards electrode **203** generally along path **250**. As packet **21a** moves generally along a specified path, the integral array may measure impedances to map the position of the packet upon reaction surface **12**. Knowing the position of a packet allows manipulation forces to be directed at appropriate positions to achieve a desired microfluidic processing task or interaction. In particular, knowing a position of a packet allows an appropriate signal to be switched to an appropriate electrode or electrode pair to generate a manipulation force that further propels or interacts the packet according to one or more instructions.

As packet **21a** moves from electrode **201** towards electrode **203**, the impedance between electrode **202** and electrode **203** may change value, indicating that packet **21a** is between, or partially between, those two electrodes. The impedance may be measured as described in **FIG. 3**. A controller or processing system (not shown in **FIG. 11**) may register the location of packet **21a** and may apply a signal, for instance, to electrode pairs

204 and 205, creating an attractive dielectrophoretic force which propels packet 21a towards those electrodes generally along path 250. As the impedance between electrode 204 and electrode 205 changes value, a controller or processing system may apply a signal to electrodes 206 and 207 to propel packet 21a along path 250. As packet 21a continues along path 250, the impedance between electrode 206 and electrode 207 may change value, indicating the presence of packet 21a adjacent that location along the array. Thus, as packet 21a moves along path 250, a controller or processing system may constantly monitor the position of the packet by measuring impedance between electrode pairs and adjust electrical signals to an appropriate electrode or electrode pair (and hence, adjust manipulation forces) to continue to propel the packet further along the specified path.

Measuring an impedance between pairs of electrodes not only allows a position of a packet to be determined, but it also allows for the determination of a location of an obstruction or blockage upon reaction surface 12. For example, measuring the impedance between electrodes 211 and 213 may indicate the presence of obstruction 212. By noting the position of obstruction 212, a controller or processing system may re-route one or more packets around the obstruction so that no interference with microfluidic processing interactions occurs. For example, if a path is specified that passes through an area occupied by obstruction 212, a controller or processing system may modify electrical signals to propel a packet generally along the specified path while avoiding the obstruction. For instance, a stronger or weaker signal may be sent to one or more electrodes or electrode pairs near obstruction 212 to steer a packet clear of the blockage while still maintaining, generally, the path that was originally specified, and more particularly, the originally specified end point

A controller or processing system according to the presently disclosed method and apparatus may be programmed to scan for several obstructions and/or packets. Such a scan may build up a distribution map, showing the location(s) of various packets and/or obstructions on an entire reaction surface 12 or a portion thereof. Such a distribution map may be a virtual map, stored, for example, in a computer memory or display. Turning

again to **FIG. 11**, impedances of all electrode pairs adjacent to path **250** may be measured to determine if an obstruction blocks that path or if a packet lies somewhere in that area. If the path is determined to be clear (e.g., if all the electrode pairs show an impedance value indicating a clear area), a packet may be safely propelled generally along the path while avoiding any interactions with other packets and/or obstructions. However, if an obstruction is discovered, several different actions may be taken. In one embodiment, the user may be notified that a blockage exists along the specified path. The user may then specify a different path or give another appropriate instruction. In another embodiment, the controller or processing system may determine if the obstruction may be avoided while still maintaining generally the same specified path. If possible, electrical signals may be modified and delivered to an electrode or electrode pairs to generate appropriate electrical field distributions that set up proper manipulation forces that will aid in avoiding the obstruction. Because, at least in part, of this ability to constantly measure positions and responses of packets during manipulation, a controller or processing system may be capable of monitoring the integrity of fluidic processing, reporting and correcting any errors that may occur.

FIG. 11 also depicts how processing may be carried out on two packets. In the illustrated embodiment, a second packet **21b** begins on reaction surface **12** near electrode **217**. A second path, path **260**, may be specified that ends at electrode **219**. As can be seen, paths **250** and **260** may cross at interaction point **240**. At interaction point **240**, the two packets may interact in many ways as illustrated, for example, in **FIG. 12**. The interaction may include, but is not limited to, fusing, merging, mixing, reacting, dividing, splitting, or any combination thereof. For instance, the two packets may interact at interaction point **240** to form one or more intermediate or final reaction products. Those products may be manipulated in the same or in a similar manner as the two original packets were manipulated.

FIG. 11 also depicts how maintenance may be performed upon reaction surface **12**. A maintenance packet **21c** adapted to perform maintenance upon reaction surface **12** may be introduced onto reaction surface **12** by a maintenance port (not shown

in **FIG. 11**). A maintenance port may be similar to an inlet port in structure but may be dedicated to the introduction of one or more maintenance packets **21c** designed specifically, for instance, to clean or maintain reaction surface **12**, a surface coating, or one or more electrodes or sensors. Maintenance packet **21c** may also react with an obstruction in such a way as to remove that obstruction. As illustrated, maintenance packet **21c** may begin near electrode **241**. It may then be propelled along path **270**, providing maintenance, perhaps, to electrodes **242** and **243**. Maintenance packet **21c** may be propelled back to a maintenance port, extracted from reaction surface **12**, and later used again, or it may discarded at an outlet part.

FIG. 12 demonstrates several different possible fluidic interactions that may be carried out using the presently disclosed method and apparatus. In the illustrated embodiment, packets **21** (only one is labeled for convenience) reside upon a reaction surface **12** having an integral array **43** (only one electrode is labeled for convenience). In the top pane of **FIG. 12**, there is shown an interaction in which a single packet is manipulated on the reaction surface by moving the packet in a programmed fashion. In the middle pane, two packets, starting at different locations upon the reaction surface, are directed, via appropriate electrical signals, to come together at a specified location (near the center of the array) to fuse together, for example, to initiate a reaction. The fused packet may be manipulated just as the original packets were manipulated. For instance, the fused packet may be moved to various locations or it may fuse again with another packet(s). Shown in the bottom pane of **FIG. 12** is a splitting interaction. As shown, a single packet is subjected to different programmable manipulation forces that cause the packet to split into two distinct packets. Such an interaction may be accomplished by, first, noting the position of the packet to be split, and then by applying appropriate signals to electrode pairs to generate two or more opposing forces that cause the packet to split apart.

FIG. 13 is a flowchart showing one embodiment of a method of operation. A material may be introduced onto a reaction surface and compartmentalized to form one or more packets in step **300**. Multiple materials may be introduced at different locations

along reaction surface **12** to form a plurality of packets. A path may be specified as in step **310**. The path may be designed to accomplish any type of microfluidic processing, manipulation, or interaction. Different reactions may be performed in serial or in parallel according to different paths. Instructions governing such processing may be embodied in the pseudo-code that may be routed through computer interface **128** of **FIG. 10**. Illustrative code may read as follows:

Example: ***AvidinActin.PSL***

```
Use inlet(1-3), outlet(1-2)
Inlet(1) is actin
Inlet(2) is avidin
Inlet(3) is enzyme
Outlet(1) is polymer
Outlet(2) is waste
Matrix(1,2) is accumulator
Clean
Do
    Sactin = (Pull actin)                                   // pull a new packet on the next
    Savidin = (Pull avidin)                                 // available matrix element next to
    Senzyme = (Pull enzyme)                                 // the inlets
    Move Sactin into accumulator                            // merges components and enzyme
    Move Savidin into accumulator                           // in a single packet
    Move Senzyme into accumulator
    Wait 1000ms
    ShiftRow accumulator.row ,+1                            // drag packet left into polymer outlet
    Move 0.5*accumulator into (2, accumulator.column)// drag half packet to row 2
    ShiftRow 2, + 1                                         // drag packet left into waste
Loop Until polymer.count = 10                       // number of packet at polymer outlet = 10
Clean
```

In step **315**, computer **80** of **FIG. 6** or any other suitable device may determine the next unit step along the path specified in step **315**. In other words, a path may be broken down into unit steps and the next unit step or steps may be determined with respect to the specified path. In step **320**, a programmable manipulation force is generated on reaction surface **12** through the use of any of the mechanisms disclosed herein. The programmable manipulation force may manipulate the one or more packets according to instructions from a user. In step **330**, the response(s) of the one or more packets may be monitored. This step may include measuring an impedance on the reaction surface as discussed herein. In particular, one may determine whether the one or

more packets moved to where they were supposed to, or whether they interacted as instructed. In step **340**, it may be determined if the packet movement was successful -- that is, it may be determined whether the packet ended up at a location corresponding to the unit step determined in step **315**.

If a packet movement was successful (i.e., if the packet responded correctly to the programmable manipulation force(s)), then it may be determined, by comparison with the specified path, whether or not the packet destination has been reached. In other words, it may be determined if the packet has moved to the end location of the specified path. If the destination has not been reached, the next unit step movement may be determined at step **315** and steps **320**, **330**, **340**, and **365** may be repeated. If the destination has been reached, it may be determined whether another packet is to be manipulated in step **370**. This step may include a user prompt. If no further packets are to be manipulated, it may be determined whether fluidic processing is complete in step **380**. If yes, the process may be ended at step **390**. Step **390** may include the collecting of one or more packets, further analysis, throwing away of the reaction surface, or any procedure described herein. If the processing is not complete, the next step of processing may be determined in step **395**. The next step may entail, for example, the introduction of another packet, the specification of another path, or any other step of **FIG. 13**.

If a packet manipulation is unsuccessful (i.e., if the applied programmable manipulation force(s) did not produce a desired interaction or movement along a specified path as indicated by step **340**), one may locate an obstruction upon the reaction surface as indicated in step **350** and as taught herein. After locating any obstructions, a new, modified path may be determined or specified as indicated by step **360**, leading to step **310**.

As mentioned with relation to **FIG. 1**, the present disclosure contemplates that many different types of forces may be utilized as a manipulation force for promoting fluidic interactions among packets of material on a reaction surface. Specifically, suitable forces other than dielectrophoresis include electrophoretic forces, optical forces,

mechanical forces, or any combination thereof. Below are discussed embodiments of the present disclosure dealing with electrophoretic and optical manipulation forces.

Programmable Electrophoretic Array (PEA)

A fluidic processing system incorporating a programmable electrophoretic array may be constructed according to the present disclosure. As used herein, "programmable electrophoretic array" (PEA) refers to an electrode array whose individual elements can be addressed with DC, pulsed, or low frequency AC electrical signals (typically, less than about 10 kHz) electrical signals. The addressing of electrode elements with electrical signals initiates different field distributions and generates electrophoretic manipulation forces that trap, repel, transport or perform other manipulations upon charged packets on and above the electrode plane. By programmably addressing electrode elements within the array with electrical signals, electric field distributions and electrophoretic manipulation forces acting upon charged packets may be programmable so that packets may be manipulated along arbitrarily chosen or predetermined paths. A PEA may utilize electrophoretic forces in DC or low-frequency (typically, less than about 10 kHz) AC electrical fields. Such electrophoretic forces may be used instead of, or in addition to, another manipulation forces such as dielectrophoresis.

Negative or positive charges may be induced or injected into fluid packets. The charged packets may be moved or manipulated by electrophoretic forces generated by an electrode array fabricated on an inner surfaces of a chamber in accordance with this disclosure. The electrode array, termed a programmable electrophoretic array (PEA), may consist of uniformly or non-uniformly spaced electrode elements. Individual electrode elements may be independently addressable with DC, pulsed, or low frequency AC electrical signals (< about 10 kHz). Characteristic dimensions of individual electrode elements may be of any size but, in one embodiment, may lie between 0.2 micron and 10 mm. Individual electrode elements may take similar or different geometrical forms such as squares, circles, diamonds, or other shapes. Programmably switchable electrical signals may be applied to individual electrode elements so that a programmable electrical field distribution may be generated. Such a distribution may impose electrophoretic

forces to trap, repel, transport or manipulate charged packets in a partitioning medium. Further, electrical signals may be applied to such an array so that a packet may be broken down to two or more packets. The programmability of a PEA may be reflected in the fact that the electric field distributions and electrophoretic forces acting on charged packets may be programmable so that charged packets may be trapped or repelled or transported along arbitrarily chosen paths in the partitioning medium, and that a PEA may be programmed to perform different reactions in series or in parallel where different manipulation protocols of packets (differing in size, number, and/or reagent type concentration) may be required. As with PDA surface modification, if a dielectric layer coating is applied to the surface of a PEA to modify interaction forces between packets reaction surfaces, the dielectric layer may be made sufficiently thin (typically 2 nm to 1 micron) to allow for electric field penetration.

Optical Manipulation

Optical tweezers (which may consist of a focused laser beam with a light intensity gradient) may be also be used for trapping and manipulating packets of material. Optical manipulation requires that the refractive indices of the packets be different from that of their suspending medium, for instance, a partitioning medium as described herein. As light passes through one or more packets, it may induce fluctuating dipoles. Those dipoles may interact with electromagnetic field gradients, resulting in optical forces directed towards or away from the brighter region of the light. If their refractive indices are higher than that of the partitioning medium, packets may be trapped in a bright region, and when the laser light moves with respect to the partitioning medium, packets may follow the light beam, allowing for optical manipulation forces. Conversely, if the packets have refractive indices smaller than their partitioning medium, they will experience forces directing them away from bright regions.

Therefore, if packets have different refractive indexes from that of the partitioning medium (e.g., water packets in air or oil), optical tweezers may exert forces on them. Therefore, to manipulate and interact packets, a microscope or other optical system incorporating one or more laser tweezers may be used. A chamber containing a

partitioning medium in accordance with the present disclosure may be placed into such an optical system. Following the introduction of packets of material into the chamber, laser tweezers may be used to trap packets. By moving the focal point of the optical tweezers with respect to the partitioning medium (e.g., moving a stage holding the thin chamber containing the partitioning medium whilst fixing the position of laser tweezers and/or by focusing the laser beam to different depths in the partitioning medium), packets may be manipulated as described herein. Through the use of apparatus such as a computer-controllable, multi-axis translation stage, the movement of the optical tweezers with respect to the suspending medium may be programmed or automatically controlled. Thus the optical tweezer may be moved, with respect to the medium, along any arbitrarily chosen or predetermined paths. By doing so, packets under the influences of the optical tweezers may be manipulated along any arbitrarily chosen or predetermined paths.

Example 1

Aqueous materials have been compartmentalized to form packets using hydrophobic liquids as a partitioning medium. Partitioning mediums so used have included decane, bromodocane, mineral oil, and 3 in 1™ oil. Packets have been formed by briefly sonicating about 3 milliliters of the hydrophobic liquid to which had been added 20 to 50 microliters of aqueous medium. Aqueous media tested have included deionized water, tap water (electrical conductivity of about 40 mS/m) and phosphate buffered saline (PBS) solution.

Example 2

Aqueous packets suspended in mineral oil, bromodoecane and 3 in 1™ oil have been collected by dielectrophoresis by applying sinusoidal signals to gold-on-glass electrode arrays having 20, 80 and 160 micron spacing, respectively. The 20-micron electrode array consisted of parallel line electrodes (20 microns in width and spacing). The 80 and 160 micron electrode arrays were of the interdigitated, castellated geometries. Aqueous packets were collected at electrode edges or tips when AC voltage signals

between 100 Hz and 20 MHz were applied. Applied voltages were from 10 to 100 V peak-to-peak. The formation of pearl-chains of water packets has also been observed.

Example 3

Aqueous packets in hydrophobic suspension have been brought together and fused under the influence of dielectrophoretic forces on the same electrode arrays used in Example 2.

Example 4

Packets have been moved from one electrode element to another under influence of dielectrophoretic forces when the AC electrical field is switched on an addressable array of parallel line electrodes having 20 micron width and spacing.

Example 5

Sensitive AC impedance monitors have been built for use with microelectrode arrays. Such monitors may provide for sensitive dielectric sensing of packet positions.

While the present disclosure may be adaptable to various modifications and alternative forms, specific embodiments have been shown by way of example and described herein. However, it should be understood that the present disclosure is not intended to be limited to the particular forms disclosed. Rather, it is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the disclosure as defined by the appended claims. Moreover, the different aspects of the disclosed apparatus and methods may be utilized in various combinations and/or independently. Thus the invention is not limited to only those combinations shown herein, but rather may include other combinations.

WHAT IS CLAIMED IS:

1. An apparatus for programmably manipulating a packet, said apparatus comprising:

a reaction surface configured to provide an interaction site for said packet;

an inlet port coupled to said reaction surface and configured to introduce said packet onto said reaction surface;

means for generating a programmable manipulation force upon said packet to programmably move said packet about said reaction surface along arbitrarily chosen paths; and

a position sensor coupled to said reaction surface and configured to sense a position of said packet on said reaction surface; and

a controller coupled to said means for generating a programmable manipulating force and to said position sensor, said controller configured to adjust said programmable manipulation force according to said position.

2. The apparatus of claim 1, further comprising an outlet port coupled to said reaction surface and configured to collect said packet from said reaction surface.

3. The apparatus of claim 1, wherein said means for generating a manipulation force comprises a conductor adapted to generate an electric field.

4. The apparatus of claim 1, wherein said means for generating a manipulation force comprises a light source.

5. The apparatus of claim 1, wherein said manipulation force comprises a dielectrophoretic force, an electrophoretic force, an optical force, a mechanical force, or any combination thereof.

6. The apparatus of claim 1, wherein said position sensor comprises a conductor configured to measure an electrical impedance of said packet.

7. The apparatus of claim 1, wherein said position sensor comprises an optical system configured to monitor said position of said packet.

8. The apparatus of claim 1, wherein said means for generating a programmable manipulation force and said position sensor are integral.

9. An apparatus for microfluidic processing by programmably manipulating packets, said apparatus comprising:

a reaction surface configured to provide an interaction site for said packets;

an inlet port coupled to said reaction surface and configured to introduce said packets onto said reaction surface;

an array of driving electrodes coupled to said reaction surface and configured to generate a programmable manipulation force upon said packets to direct said microfluidic processing by moving said packets along arbitrarily chosen paths; and

an array of impedance sensing electrodes coupled to said reaction surface and configured to sense a position of said packets during said microfluidic processing.

10. The apparatus of claim 9, further comprising an outlet port coupled to said reaction surface and configured to collect said packets from said reaction surface.

11. The apparatus of claim 9, further comprising a controller coupled to said array of driving electrodes and to said array of impedance sensing electrodes, said controller adapted to provide a feedback from said array of impedance sensing electrodes to said array of driving electrodes.

12. The apparatus of claim 9, wherein said array of driving electrodes and said array of impedance sensing electrodes are integral.

13. The apparatus of claim 9 further comprising an integrated circuit coupled to said array of driving electrodes and to said array of impedance sensing electrodes.

14. The apparatus of claim 9 further comprising a coating modifying a hydrophobicity of said reaction surface.

15. The apparatus of claim 9, further comprising a maintenance port.

16. An apparatus for processing packets in a partitioning medium, said apparatus comprising:

a chamber configured to contain said packets and said partitioning medium;

a programmable dielectrophoretic array coupled to said chamber and configured to generate a programmable dielectrophoretic force to direct processing of said packets; and

an impedance sensing array of electrodes integral with said programmable dielectrophoretic array, said impedance sensing array of electrodes configured to sense a position of said packets within said chamber.

17. The apparatus of claim 16, further comprising an integrated circuit coupled to said programmable dielectrophoretic array and to said impedance sensing array of electrodes.

18. The apparatus of claim 16, further comprising a controller coupled to said programmable dielectrophoretic array and to said impedance sensing array of electrodes, said controller adapted to provide a feedback from said impedance sensing array of electrodes to said programmable dielectrophoretic array.

19. The apparatus of claim 16, wherein said electrodes are between about 1 micron and about 200 microns and are spaced between about 1 micron and about 200 microns.

20. A method for manipulating a packet, comprising:

providing a reaction surface, an inlet port coupled to said reaction surface, means for generating a programmable manipulation force upon said packet, a position sensor coupled to said reaction surface, and a controller coupled to said means for generating a programmable manipulation force and to said position sensor;

introducing a material onto said reaction surface with said inlet port;

compartmentalizing said material to form said packet;

sensing a position of said packet with said position sensor;

applying a programmable manipulation force on said packet at said position with said means for generating a programmable manipulation force, said programmable manipulation force being adjustable according to said position by said controller;

programmably moving said packet according to said programmable manipulation force along arbitrarily chosen paths.

21. The method of claim 20, wherein said packet comprises a fluid packet, an encapsulated packet, or a solid packet.

22. The method of claim 20, wherein said compartmentalizing comprises suspending said material in a partitioning medium.

23. The method of claim 22, wherein said material is immiscible in said partitioning medium.

24. The method of claim 22, wherein said reaction surface includes a coating, and a hydrophobicity of said coating is greater than a hydrophobicity of said partitioning medium.

25. The method of claim 20, wherein said applying a programmable manipulation force comprises applying a driving signal to one or more driving electrodes arranged in an array to generate said programmable manipulation force.

26. The method of claim 20, wherein said programmable manipulation force comprises a dielectrophoretic force, an electrophoretic force, an optical force, a mechanical force, or any combination thereof.

27. The method of claim 20, wherein said sensing a position comprises applying a sensing signal to one or more impedance sensing electrodes arranged in an array to detect an impedance associated with said packet.

28. The method of claim 20, further comprising interacting said packet, wherein said interacting comprises moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any combination thereof.

29. A method of fluidic processing, said method comprising:

providing a reaction surface, an inlet port coupled to said reaction surface , an array of driving electrodes coupled to said reaction surface, and an array of impedance sensing electrodes coupled to said reaction surface;

introducing one or more materials onto said reaction surface with said inlet port;

compartmentalizing said one or more materials to form a plurality of packets;

applying a sensing signal to one or more of said impedance sensing electrodes to determine a position of one or more of said plurality of packets; and

applying a driving signal to one or more of said driving electrodes to generate a programmable manipulation force on one or more of said plurality of packets at said position; and

interacting one or more of said plurality of packets according to said programmable manipulation force.

30. The method of claim 29, wherein at least one of said plurality of packets comprises a fluid packet, an encapsulated packet, or a solid packet.

31. The method of claim 29, wherein said sensing signal and said driving signal comprise a single processing signal.

32. The method of claim 31, wherein said processing signal comprises a first frequency component corresponding to said sensing signal and a second frequency component corresponding to said driving signal.

33. The method of claim 29, further comprising forming a packet distribution map according to said positions of said plurality of packets.

34. The method of claim 29, further comprising determining a position of one or more obstructions on said reaction surface.

35. The method of claim 29, wherein said interacting comprises moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any combination thereof.

36. A method for manipulating one or more packets on a reaction surface, comprising:

providing a programmable dielectrophoretic array coupled to said reaction surface and an impedance sensing array of electrodes integral with said programmable dielectrophoretic array;

introducing a material onto said reaction surface;

compartmentalizing said material to form said one or more packets;

specifying a path upon said reaction surface;

applying a programmable manipulation force with said programmable dielectrophoretic array on said one or more packets to move said one or more packets along said path;

sensing a position of said one or more packets with said impedance sensing array of electrodes;

monitoring whether said position corresponds to said path; and

interacting said one or more packets.

37. The method of claim 36, wherein at lease one of said one or more packets comprises a fluid packet, an encapsulated packet, or a solid packet.

38. The method of claim 36, further comprising:

sensing a position of an obstruction;

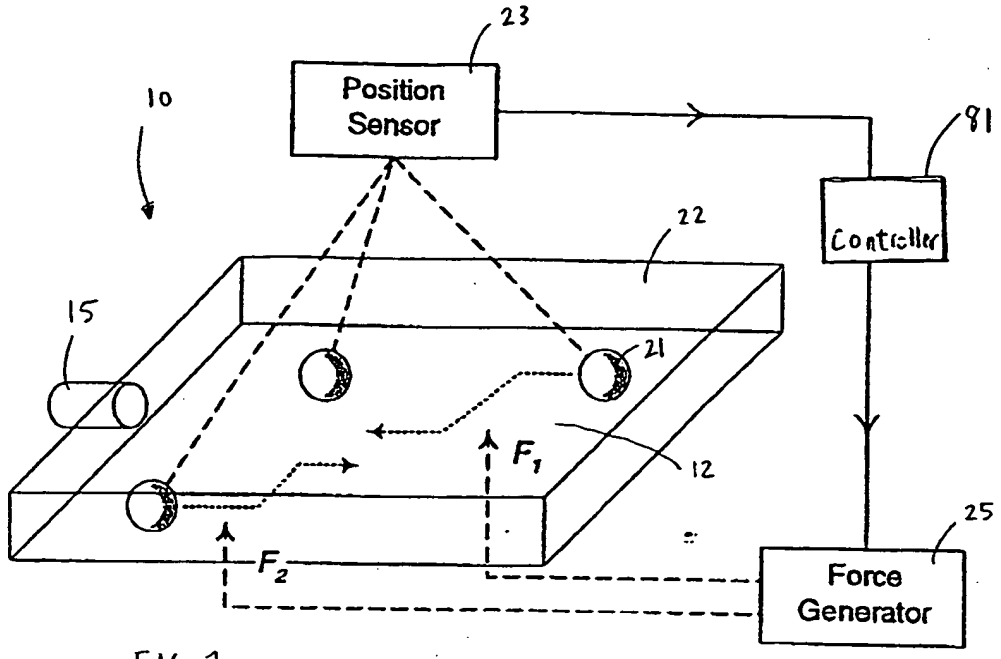
determining a modified path, said modified path avoiding said obstruction; and

applying a programmable manipulation force on said one or more packets to move said one or more packets along said modified path.

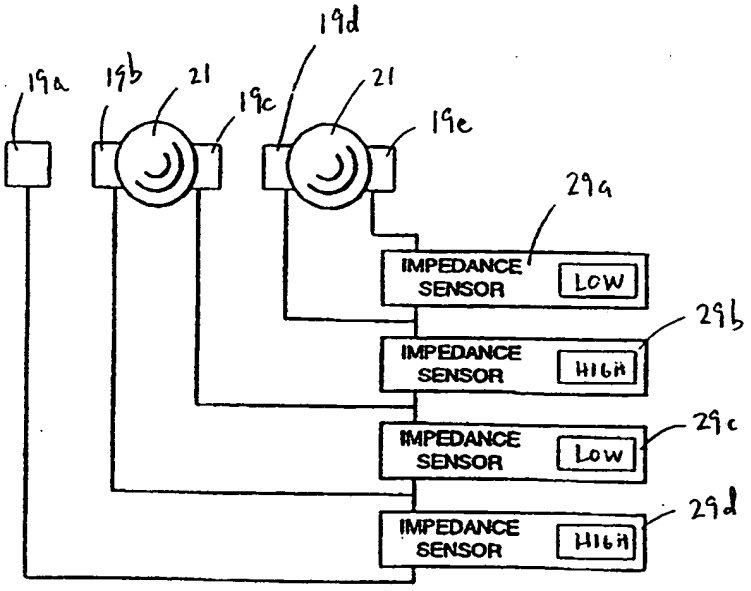
39. The method of claim 36, wherein said specifying a path comprises specifying an initial position and a final position.

40. The method of claim 36, wherein said introducing a material comprises extracting said material with a dielectrophoretic extraction force from an injector onto said reaction surface.

41. The method of claim 36, wherein said interacting comprises moving, fusing, merging, mixing, reacting, metering, dividing, splitting, sensing, collecting, or any combination thereof.


23
Position Sensor
10
81
Controller
22
15
21
F_1
12
F_2
25
Force Generator

F16. 1


19d
19a
19b
21
19c
21
19e
29a
IMPEDANCE SENSOR
LOW
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HIGH
29c
IMPEDANCE SENSOR
LOW
29d
IMPEDANCE SENSOR
HIGH

F16. 3

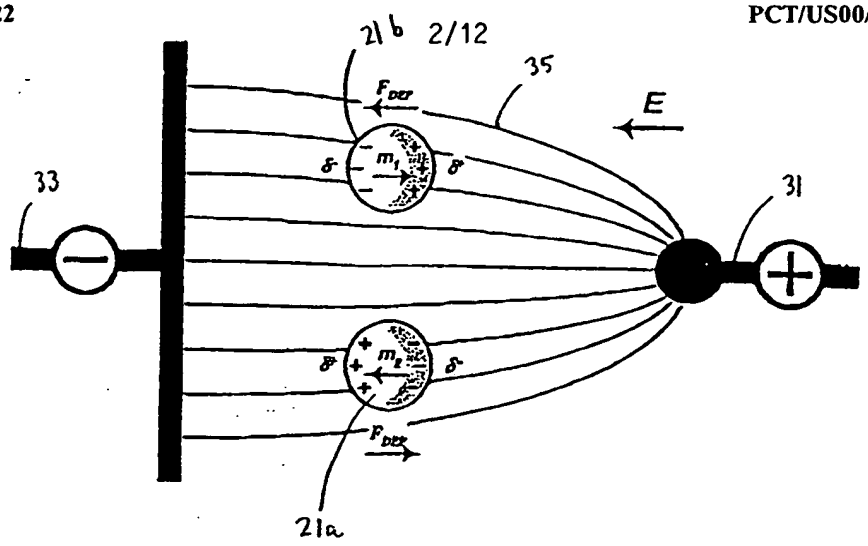

FIG. 2

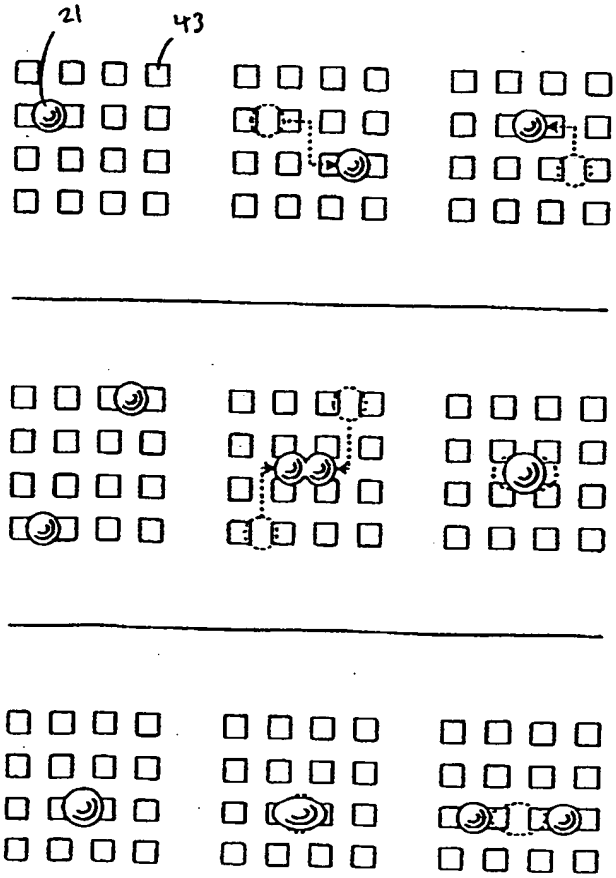

FIG. 12

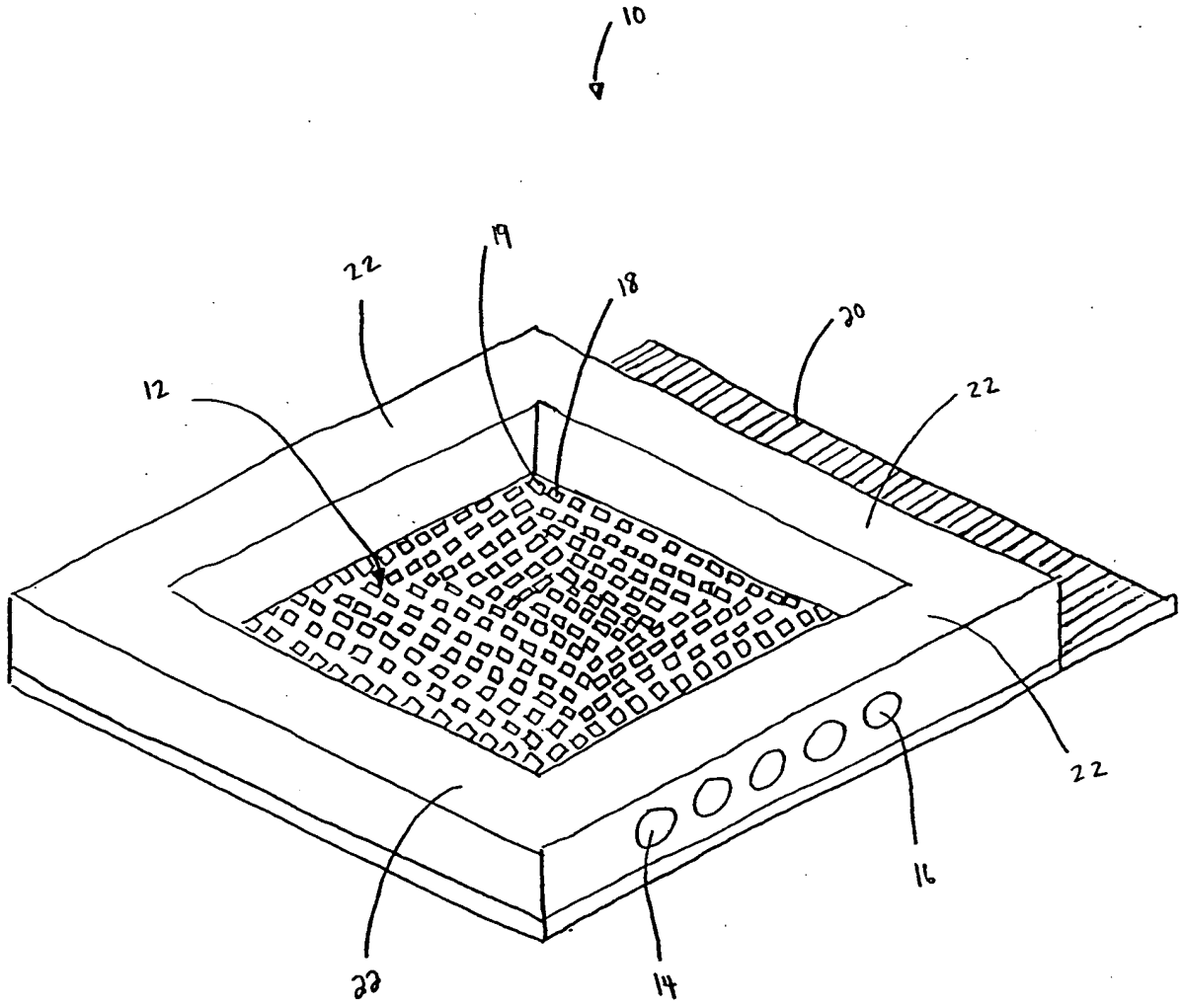

FIG. 4

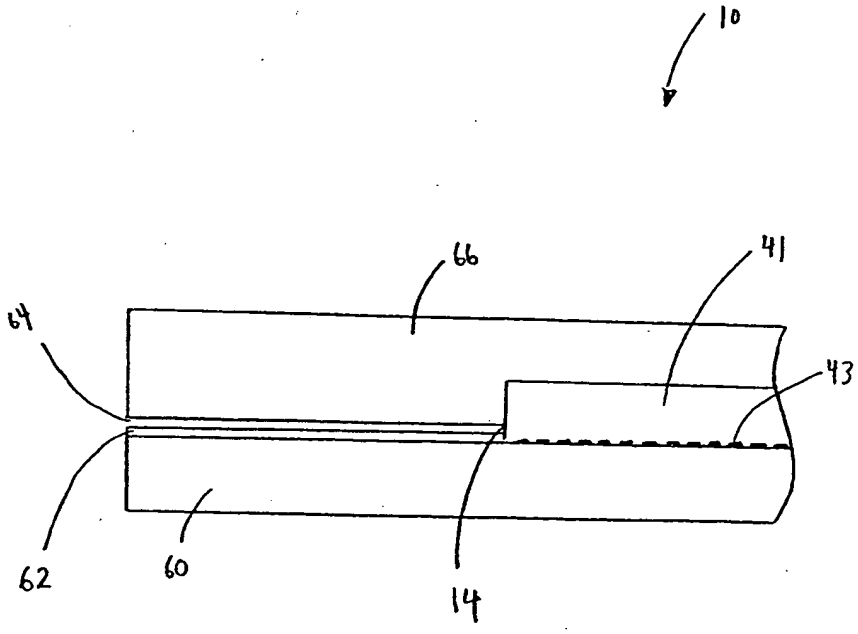

FIG. 5

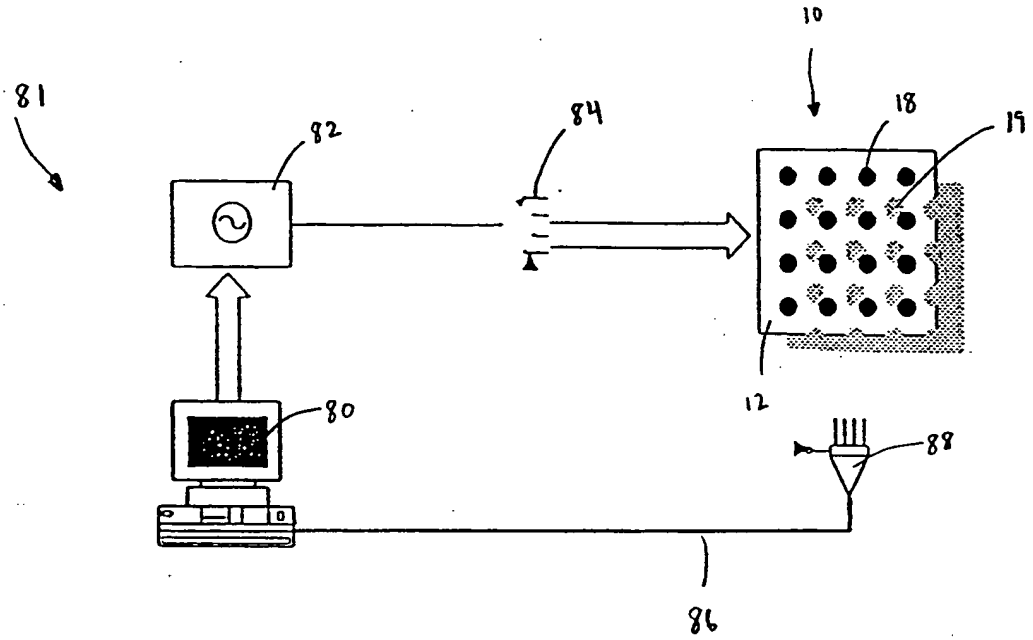

FIG. 6

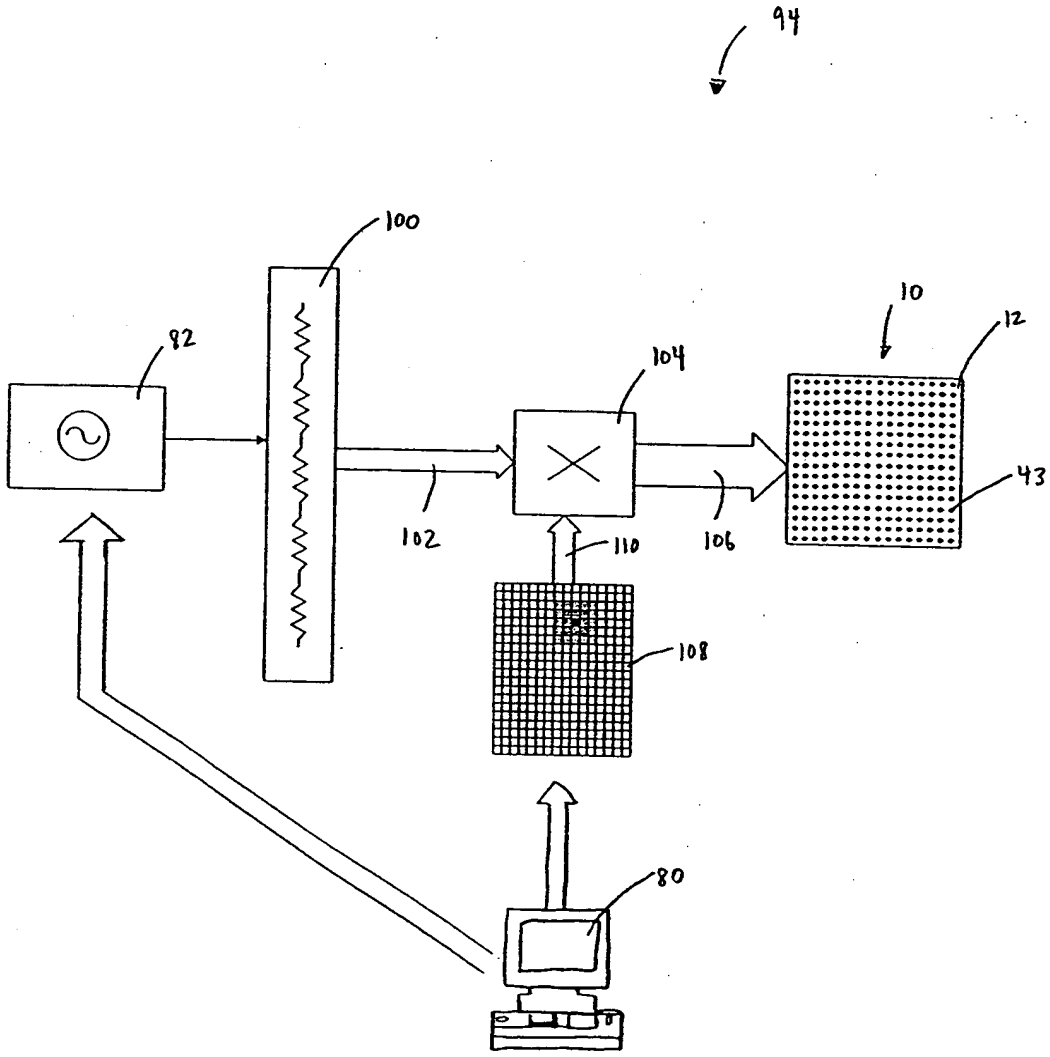

FIG. 7

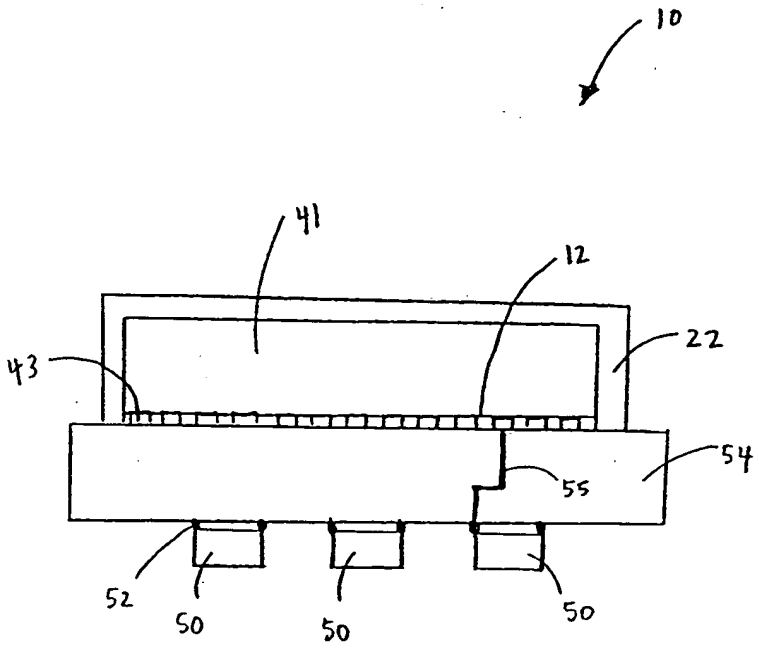

FIG. 8

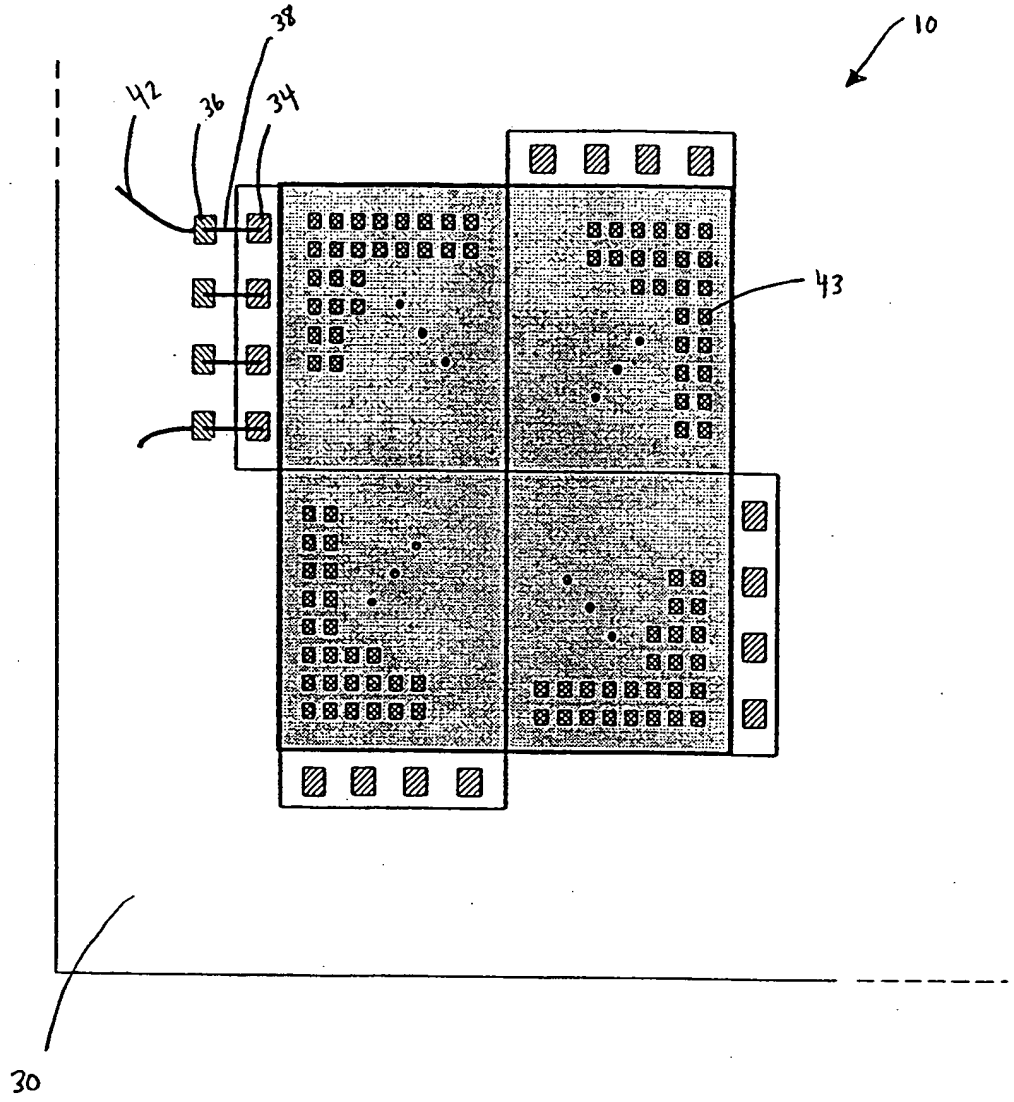

FIG. 9

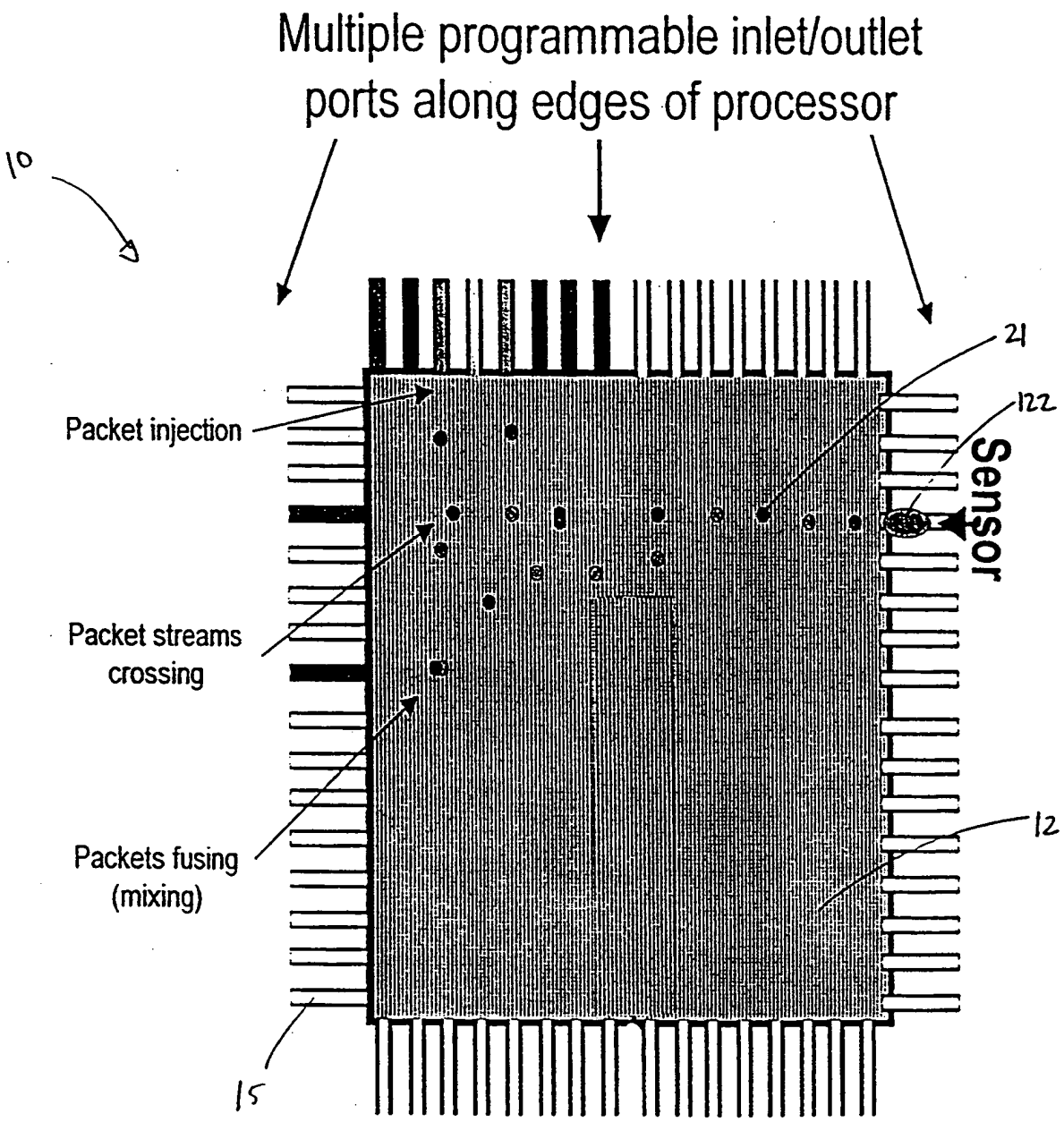
Multiple programmable inlet/outlet ports along edges of processor
10
21
122
Sensor
Packet injection
Packet streams crossing
Packets fusing (mixing)
12
15

FIG. 9B

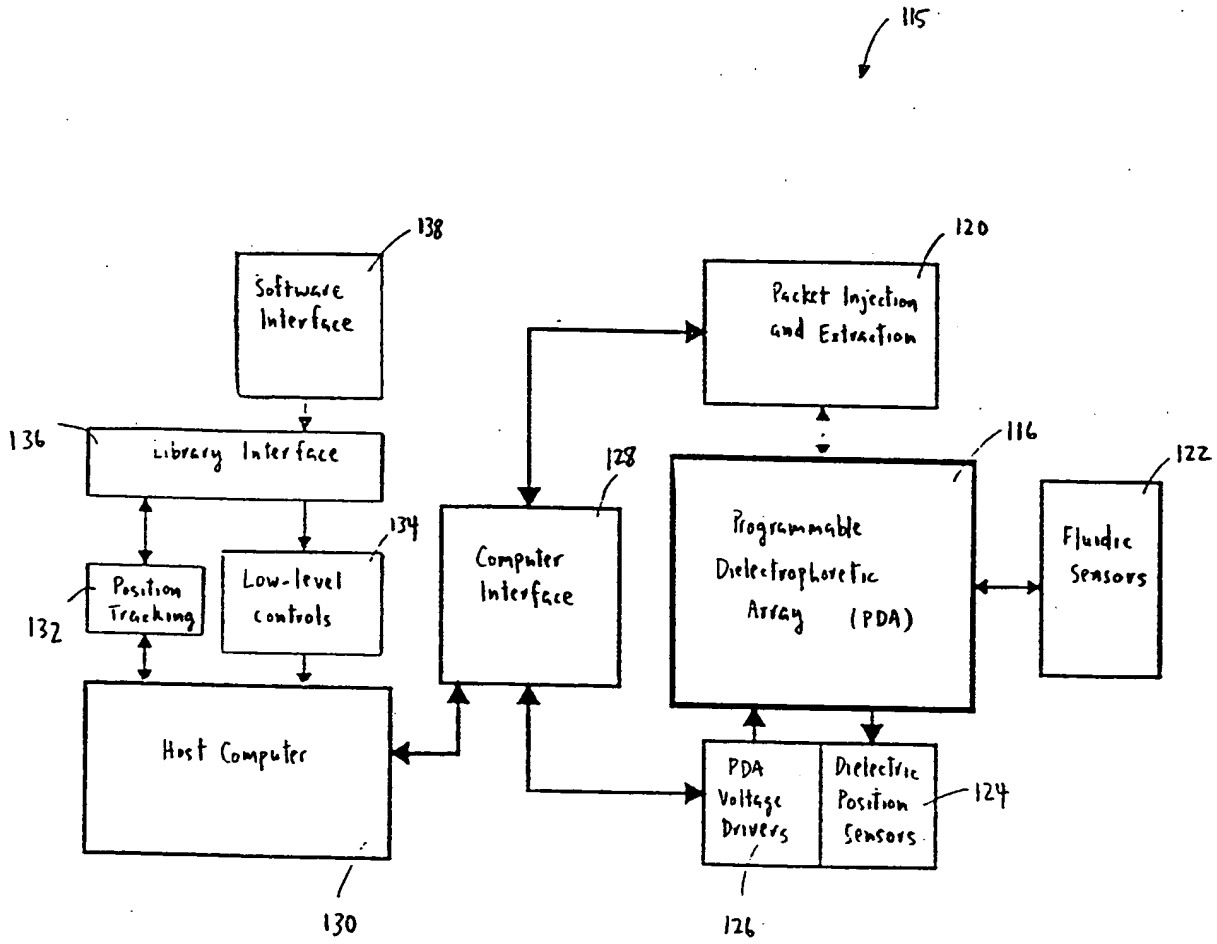
115
138
Software Interface
120
Packet Injection and Extraction
136
Library Interface
128
116
122
Programmable Dielectrophoretic Array (PDA)
Fluidic Sensors
134
Position Tracking
Low-level controls
Computer Interface
132
Host Computer
PDA Voltage Drivers
Dielectric Position Sensors
124
130
126

FIG. 10

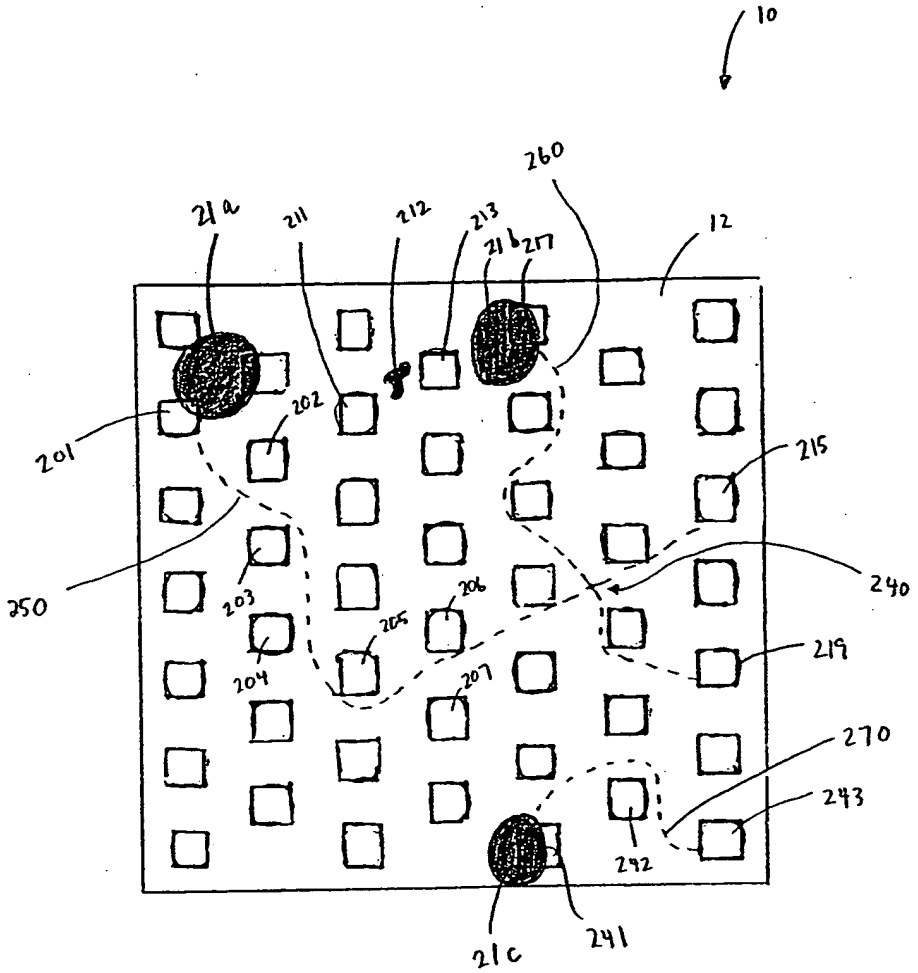
10
260
21a
211
212
213
21b
217
12
201
202
215
250
203
240
206
205
204
207
219
270
243
242
241
21c

FIG. 11

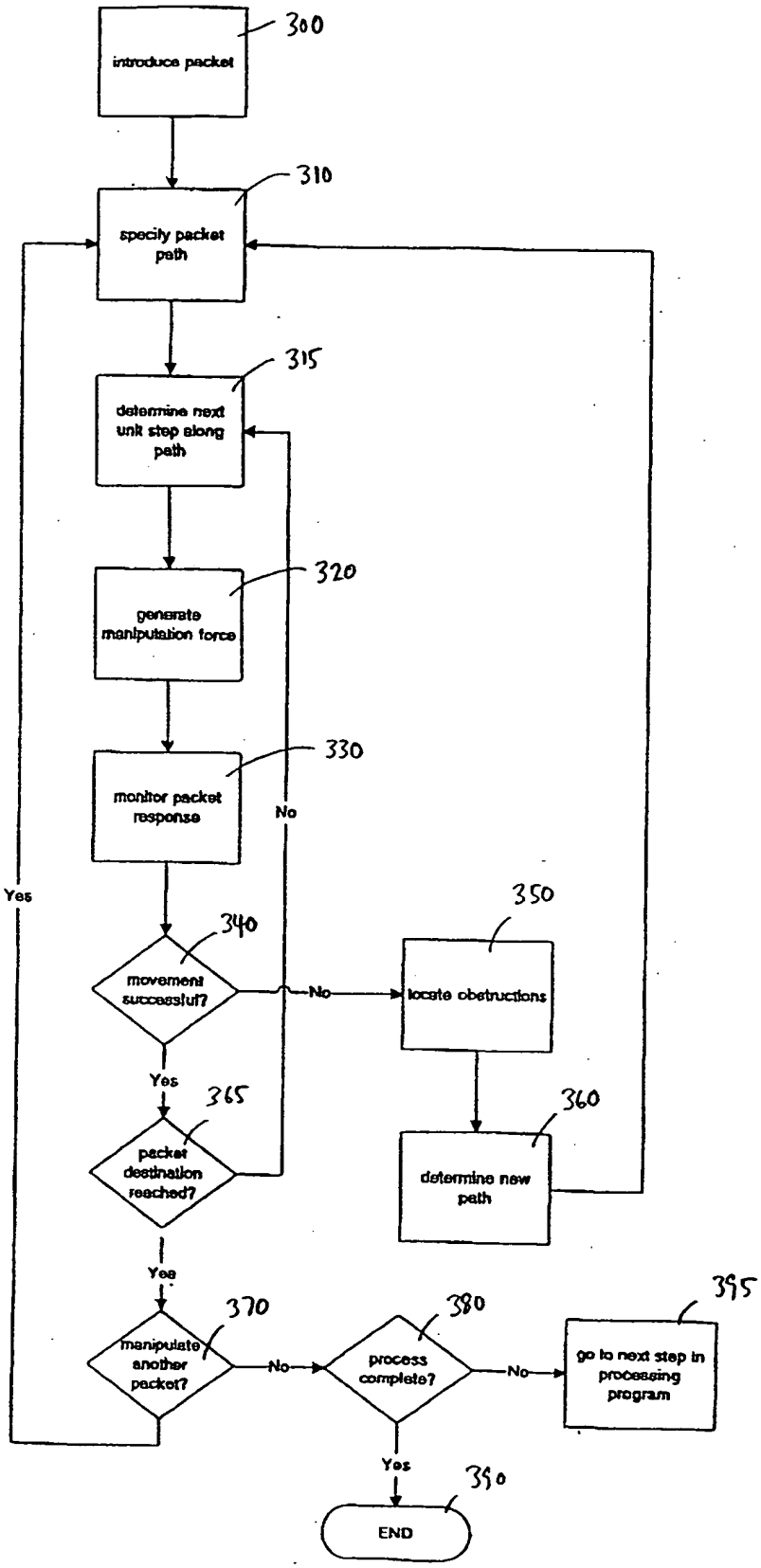
introduce packet
300
specify packet path
310
determine next unit step along path
315
generate manipulation force
320
monitor packet response
330
No
Yes
movement successful?
340
No
locate obstructions
350
Yes
packet destination reached?
365
determine new path
360
Yes
manipulate another packet?
370
No
process complete?
380
No
go to next step in processing program
395
Yes
END
390

FIG. 13

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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Filed on 12 February 1999 (12.02.1999)

(71) Applicant *(for all designated States except US)*: BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM [US/US]; 201 W. Seventh Street, Austin, TX 78701 (US).

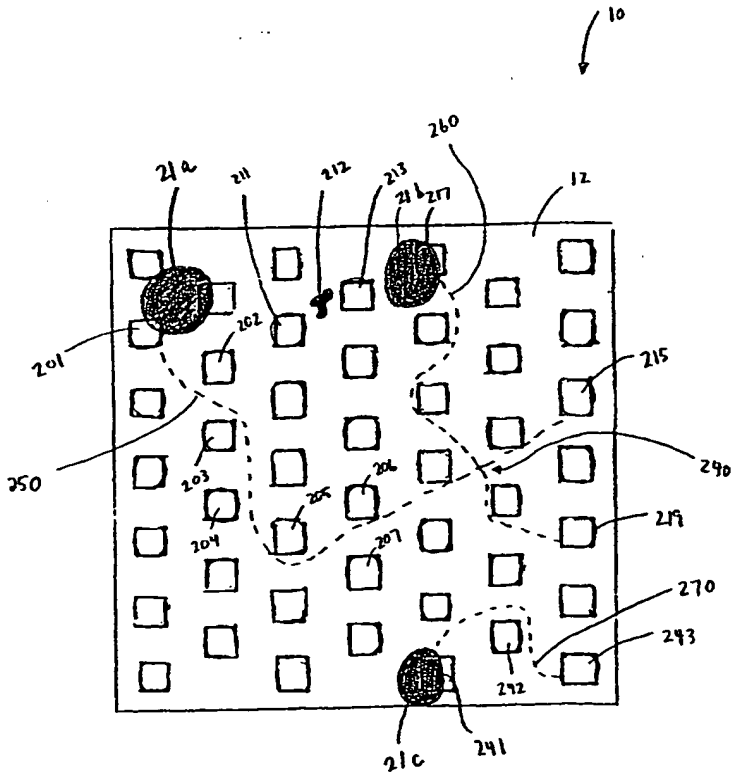
(72) Inventors; and

(75) Inventors/Applicants *(for US only)*: BECKER, Frederick, F. [US/US]; 8 Hunter's Branch, Houston, TX 77024 (US). GASCOYNE, Peter [US/US]; 5313 Huisache Street, Bellaire, TX 77401 (US). WANG, Xiaobo [CN/US]; 4910 Winding River Drive, Sugarland, TX 77478 (US). VYKOUKAL, Jody [US/US]; 1937 Dryden No. 2, Houston, TX 77030 (US). DE GASPERIS, Giovanni [IT/US]; Via Giovanni Feneziani 14, I-67100 L'Aquila (IT).

(74) Agent: HIGHLANDER, Steven, L.; Fulbright & Jaworski, 2400 One American Center, 600 Congress Avenue, Austin, TX 78701 (US).

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR PROGRAMMABLE FLUIDIC PROCESSING



(57) Abstract: A method and apparatus for microfluidic processing by programmably manipulating a packet. A material is introduced onto a reaction surface and compartmentalized to form a packet. A position of the packet is sensed with a position sensor. A programmable manipulation force is applied to the packet at the position. The programmable manipulation force is adjustable according to packet position by a controller. The packet is programmably moved according to the programmable manipulation force along arbitrarily chosen paths.

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(81) **Designated States** *(national)*: CA, JP, US.

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Published:
— *With international search report.*

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14 December 2000

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INTERNATIONAL SEARCH REPORT

Inter xnal Application No
PCT/US 00/03805

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 B03C5/02 B01L3/00 G01N27/447

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 B01L B03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)
EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 486 337 A (OHKAWA TIHIRO) 23 January 1996 (1996-01-23) column 1, line 31 - line 51 column 2, line 2 - line 61; figures 1-3 column 6, line 63 -column 7, line 10	9,29
A	FIEDLER S ET AL: "DIELECTROPHORETIC SORTING OF PARTICLES AND CELLS IN A MICROSYSTEM" ANALYTICAL CHEMISTRY,US,AMERICAN CHEMICAL SOCIETY. COLUMBUS, vol. 70, no. 9, 1 May 1998 (1998-05-01), pages 1909-1915, XP000755524 ISSN: 0003-2700 page 1911, column 2, paragraph 6; figure 1A page 1909, column 2, paragraph 2 -page 1910, column 1, paragraph 2	16,36

-/—

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

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Date of the actual completion of the international search	Date of mailing of the international search report
18 July 2000	03.08.00

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Hocquet, A

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 006 749 A (WHITE RICHARD M) 9 April 1991 (1991-04-09) column 2, line 6 - line 9 column 8, line 25 - line 36 column 9, line 57 - line 64 column 10, line 48 - line 54	9
A	US 5 795 457 A (PETHIG RONALD ET AL) 18 August 1998 (1998-08-18) cited in the application column 4, line 64 -column 5, line 4	9
A	MILNER K R ET AL: "DIELECTROPHORETIC CLASSIFICATION OF BACTERIA USIN DIFFERENTIAL IMPEDANCE MEASUREMENTS" ELECTRONICS LETTERS,GB,IEE STEVENAGE, vol. 34, no. 1, 8 January 1998 (1998-01-08), pages 66-68, XP000773611 ISSN: 0013-5194 page 66, column 2, last paragraph	9
A	US 4 390 403 A (BATCHELDER J SAMUEL) 28 June 1983 (1983-06-28) cited in the application column 5, line 47 - line 52 column 6, line 66 -column 7, line 2	16
A	WASHIZU M: "ELECTROSTATIC ACTUATION OF LIQUID DROPLETS FOR MICROREACTOR APPLICATIONS" IEEE TRANSACTIONS ON INDUSTRY APPLICATIONS,US,IEEE INC. NEW YORK, vol. 34, no. 4, July 1998 (1998-07), pages 732-737, XP000848012 ISSN: 0093-9994 figures	9,16,29, 36
A	FUHR G ET AL: "POSITIONING AND MANIPULATION OF CELLS AND MICROPARTICLES USING MINIATURIZED ELECTRIC FIELD TRAPS AND TRAVELLING WAVES" SENSORS AND MATERIALS,JP,SCIENTIFIC PUBLISHING DIVISION OF MYU, TOKYO, vol. 7, no. 2, 1995, pages 131-146, XP000617698 ISSN: 0914-4935 page 145	9,16,29, 36

-/—

INTERNATIONAL SEARCH REPORT

Intl Jonal Application No
PCT/US 00/03805

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
A	NISHIOKA M ET AL: "Micro manipulation of cells and DNA molecules" JOURNAL OF ELECTROSTATICS,NL,ELSEVIER SCIENCE PUBLISHERS B.V. AMSTERDAM, vol. 35, no. 1, 1 July 1995 (1995-07-01), pages 83-91, XP004040917 ISSN: 0304-3886 page 90, paragraph 5	9,16
A	US 5 427 663 A (AUSTIN ROBERT H ET AL) 27 June 1995 (1995-06-27) column 23, line 26 - line 44; claims 46,59,63,75	9,16

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 00/03805

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: 1-8,20-28
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-8,20-28

Present claims 1 and 20 relate to an extremely large number of possible apparatuses and methods. Support within the meaning of Article 6 PCT is to be found, however, for only a very small proportion of the apparatuses and methods claimed. A meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for claims 9-19 and 29-41, which relate to the embodiments fully disclosed in the application.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

Information on patent family members

Inter nal Application No
PCT/US 00/03805

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5486337 A	23-01-1996	NONE	
US 5006749 A	09-04-1991	NONE	
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